

# ASYNCHRONOUS SELF-TIMED RINGS FOR RANDOMNESS GENERATION



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# Introduction

2

- Performances of True Random Number Generators depend essentially on the quality of the entropy source
- Many TRNG principles rely on extracting jitter from ring oscillators
- Classic inverter based ring oscillators are easy to implement, but suffer from a low robustness to process and voltage variability

**Improving RO robustness => Improving TRNG robustness**

- Asynchronous self-timed rings have been studied on ASIC targets and show some interesting properties

# Outline

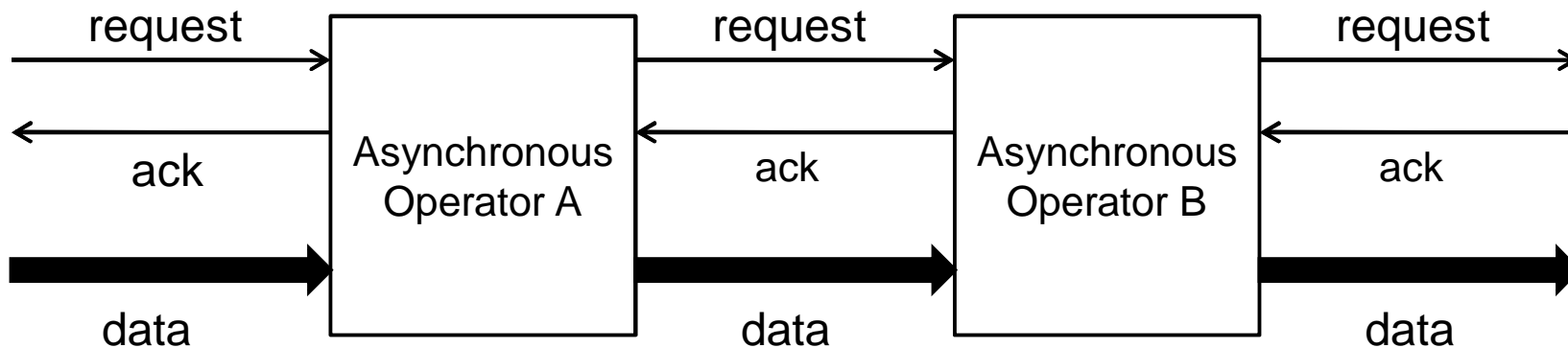
3

- **Asynchronous Self-timed Rings**
  - Behavioral model
  - Time Accurate model
- **Our work on Asynchronous Self-timed Rings**
  - ASTR on Altera Cyclone III target
  - Robustness to process and voltage variability
  - Jitter measurements
  - Influence of surrounding logic
- **Analysis**
- **Conclusion**

# Asynchronous Design

4

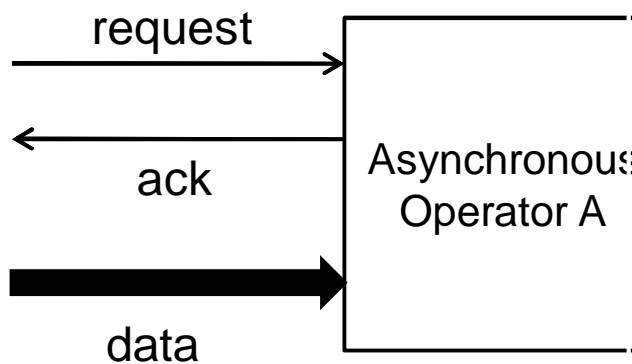
- **Principle** local synchronization between elements exchanging data
- **Execution** bi-directional communication channels and adapted communication protocol



# Asynchronous Design

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- **Principle** local synchronization between elements exchanging data
- **Execution** bi-directional communication channels and adapted communication protocol



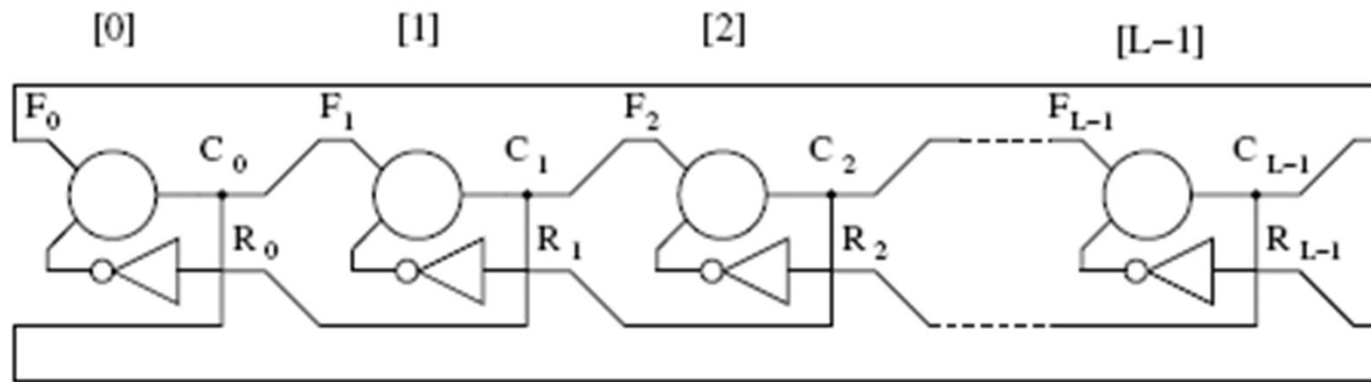
## Why Consider Asynchronous circuits ?

- Low power consumption
- High operating speed
- Less emissions of electro-magnetic noise
- Robustness toward PVT variations
- Improved modularity
- No clock distribution and clock skew problems

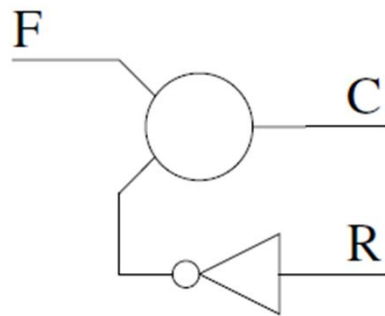
# Asynchronous Self-timed Rings (ASTR)

5

- Ring structure : closed loop of an asynchronous micropipeline



- Basic stage cell : Muller gate + inverter



(a) Stage structure

$F$	$R$	$C$
0	0	$C^{-1}$
0	1	0
1	0	1
1	1	$C^{-1}$

(b) Stage truth table

# ASTR History

- [1] J. C. Ebergen, S. Fairbanks, and I. E. Sutherland, “**Predicting performance of micropipelines using charlie diagrams**”, in *Proceedings of the Fourth International Symposium on Advanced Research in Asynchronous Circuits and Systems, ASYNC’98, San Diego, CA, USA, Mar./Apr. 1998*, pp. 238–246.
- [2] A. Winstanley and M. R. Greenstreet, “**Temporal properties of self-timed rings**”, in *Proceedings of the 11th Advanced Research Working Conference on Correct Hardware Design and Verification Methods, CHARM’01. London, UK: Springer-Verlag, 2001*, pp. 140–154.
- [3] S. Fairbanks and S. Moore, “**Analog micropipeline rings for high precision timing**”, in *Proceedings on the 10th International Symposium on Asynchronous Circuits and Systems, ASYNC’04, Apr. 2004*, pp. 41–50.
- [4] J. Hamon, L. Fesquet, B. Miscopein, and M. Renaudin, “**High-level time-accurate model for the design of self-timed ring oscillators**”, in *Proceedings on the 14<sup>th</sup> International Symposium on Asynchronous Circuits and Systems, ASYNC’08, Newcastle, United Kingdom, April 2008*.

# Behavioral Model : a Bubbles and Tokens Story

7

- Data representation

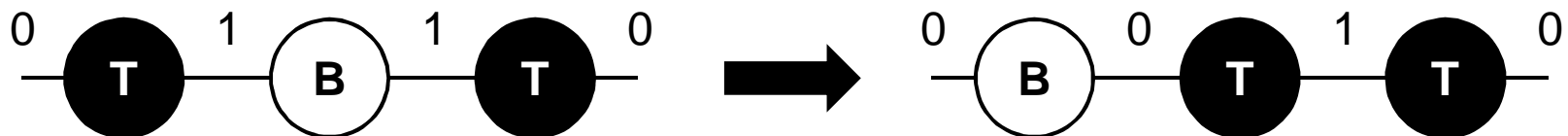
**Bubble**      Output's stage is equal to its input

**Token**      Output's stage is different from its input

- Propagation Rule

- A token propagates from left to right to the next stage if it contains a bubble

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- Asynchronous handshake protocol



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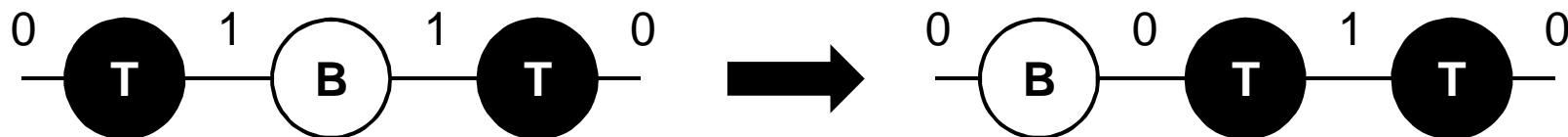
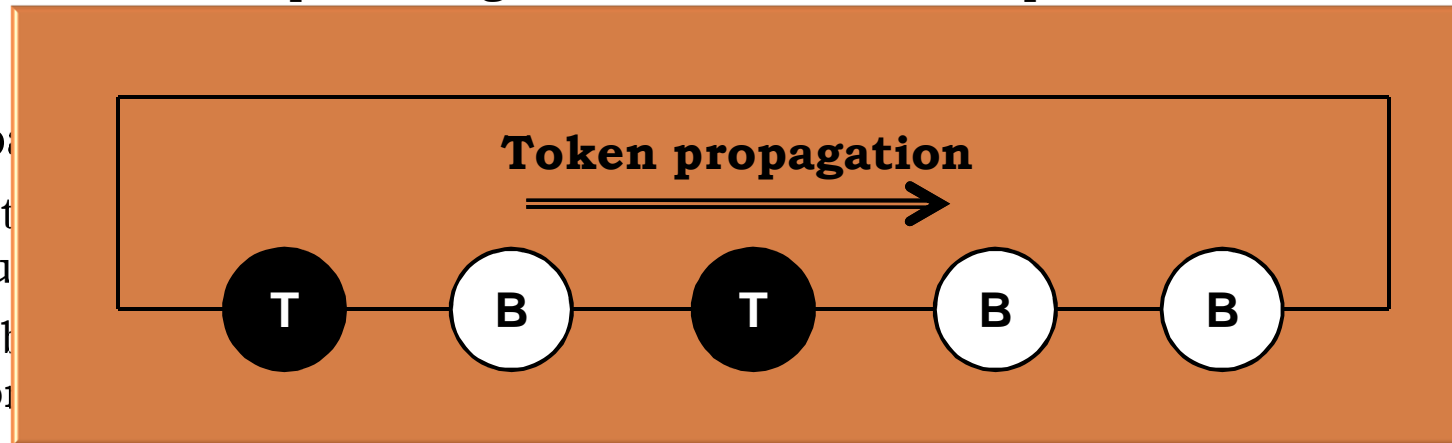
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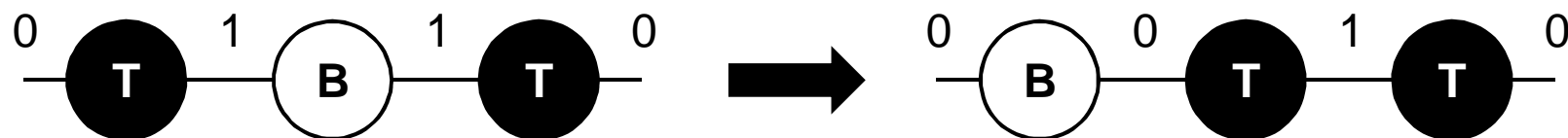
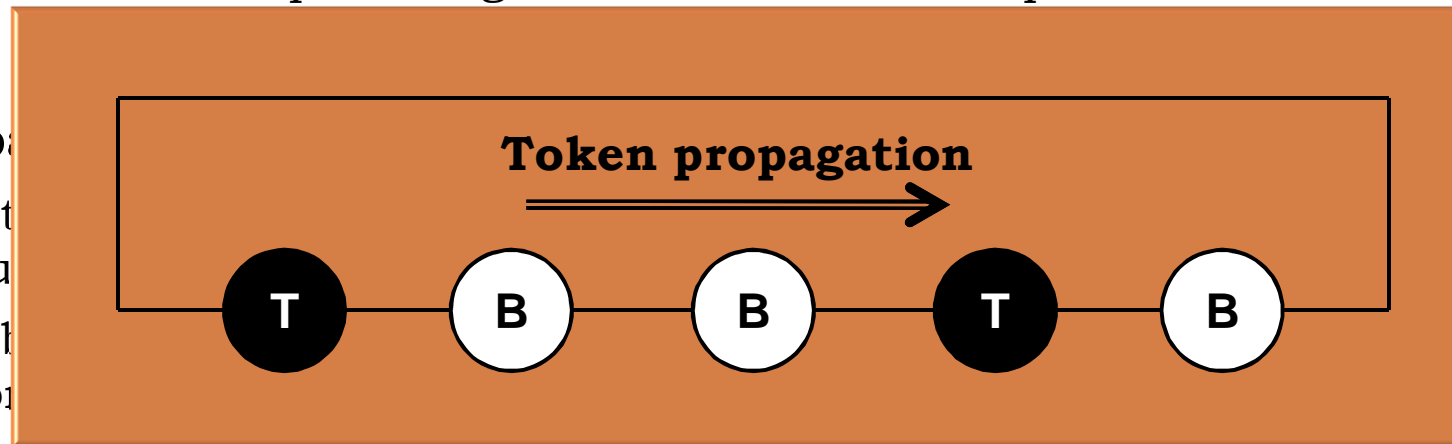
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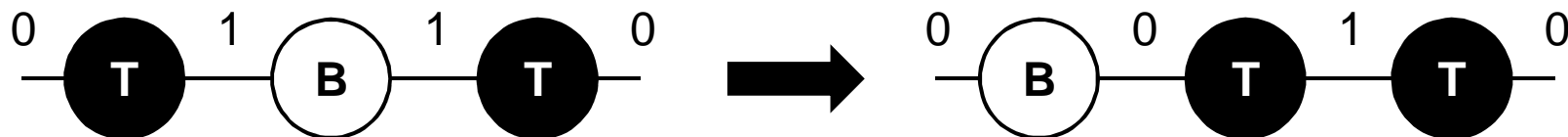
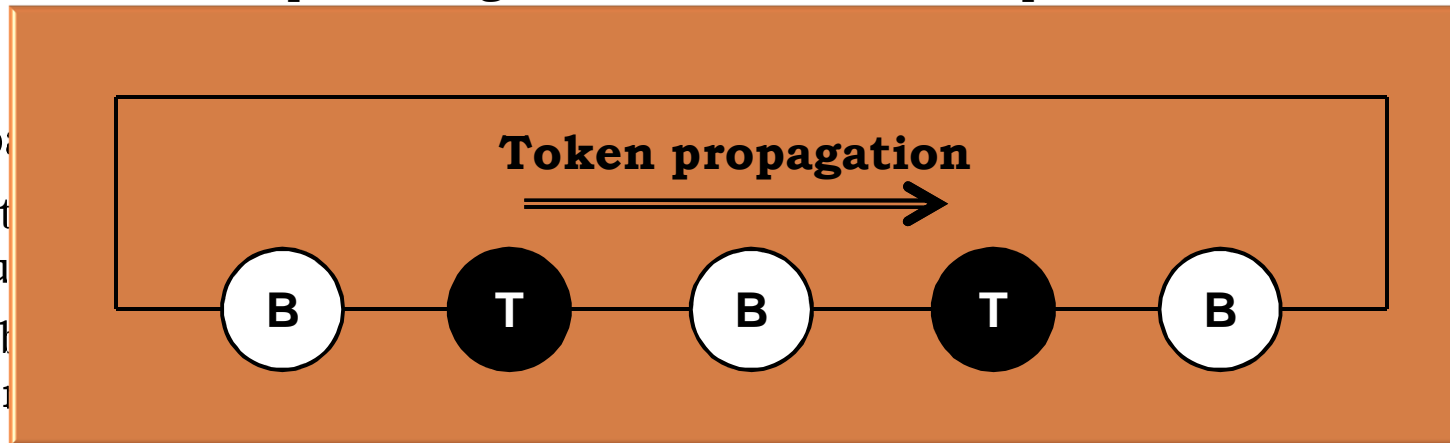
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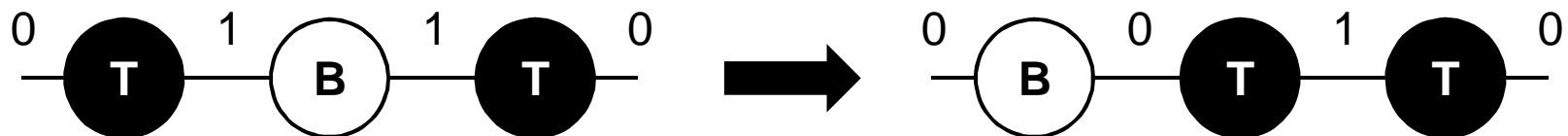
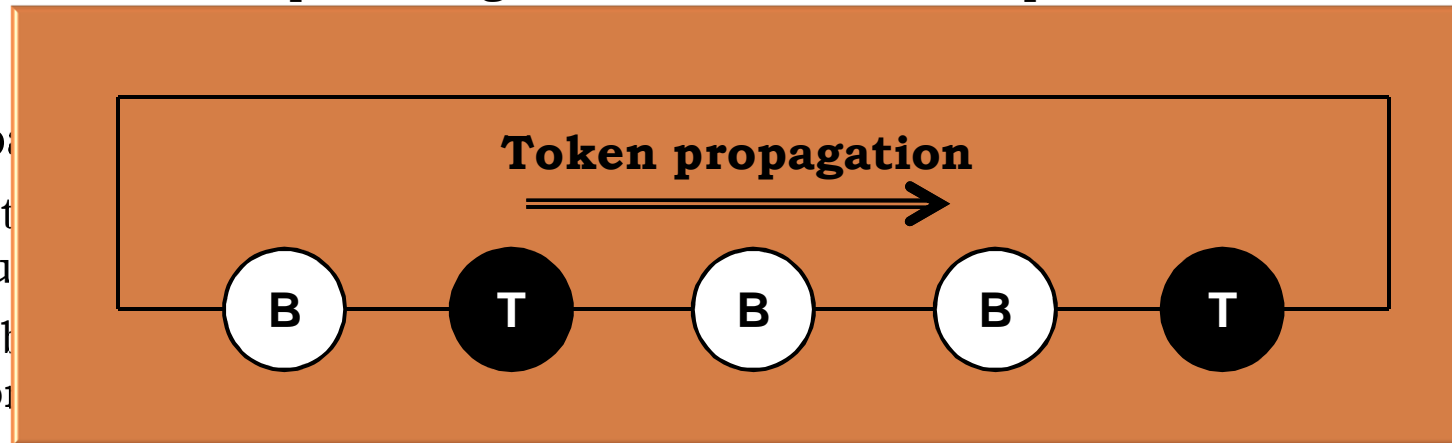
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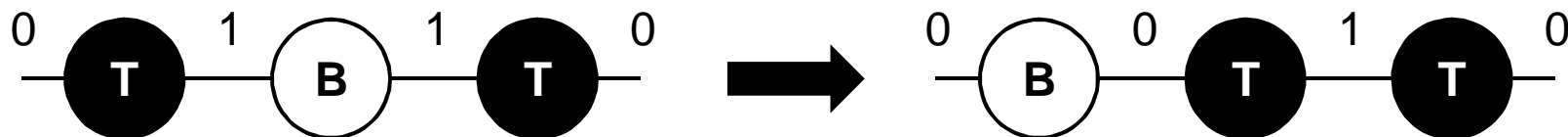
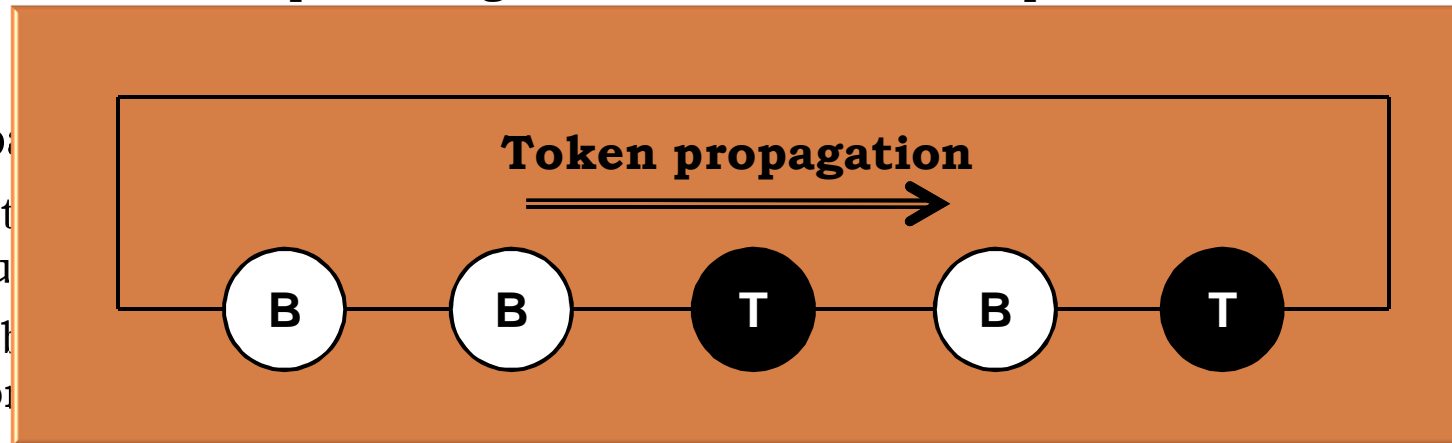
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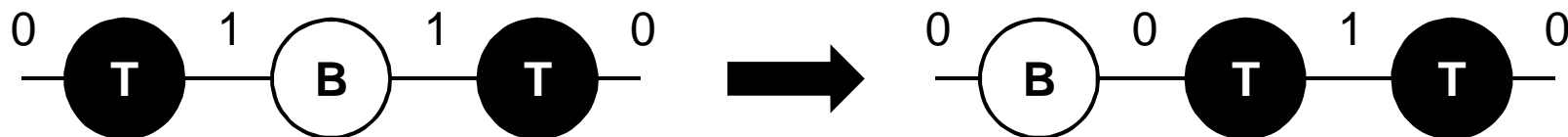
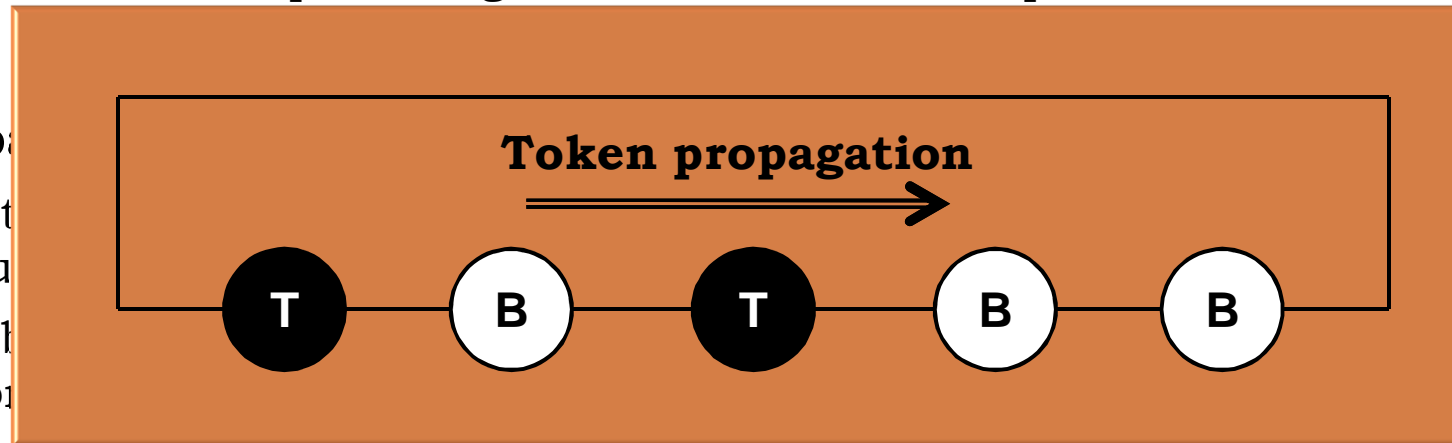
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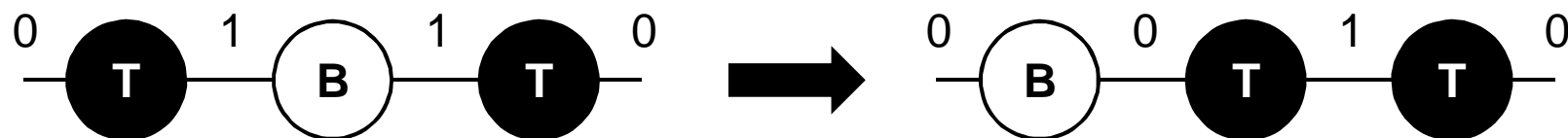
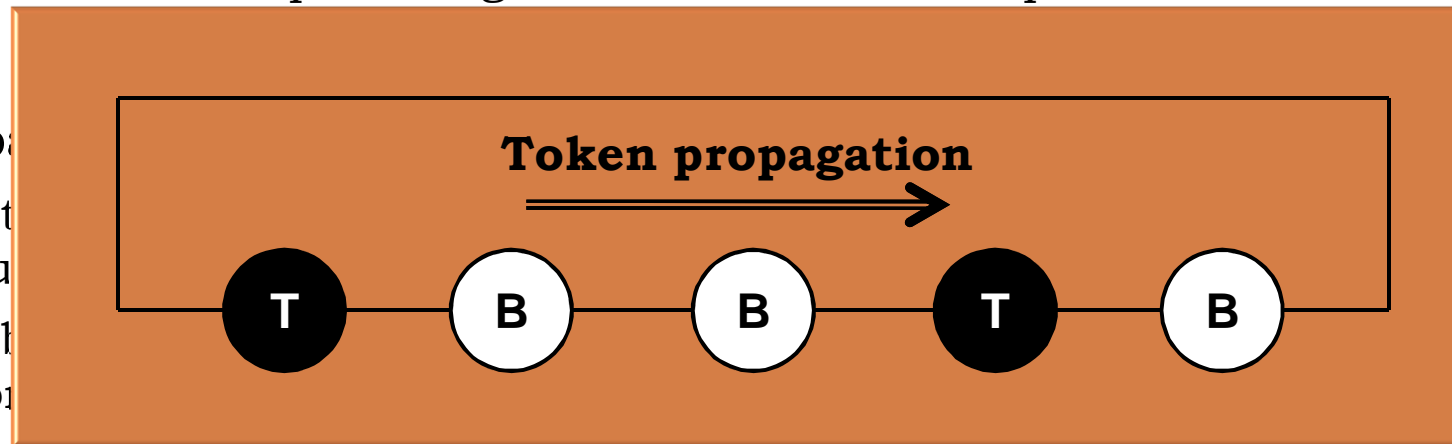
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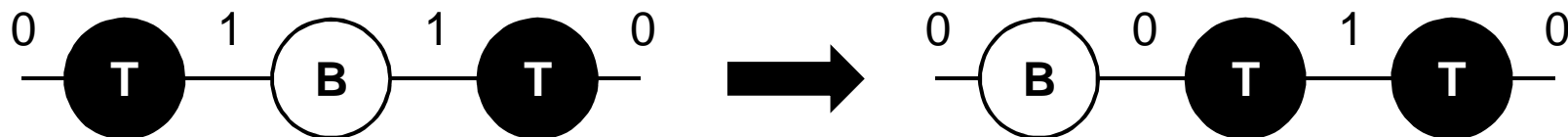
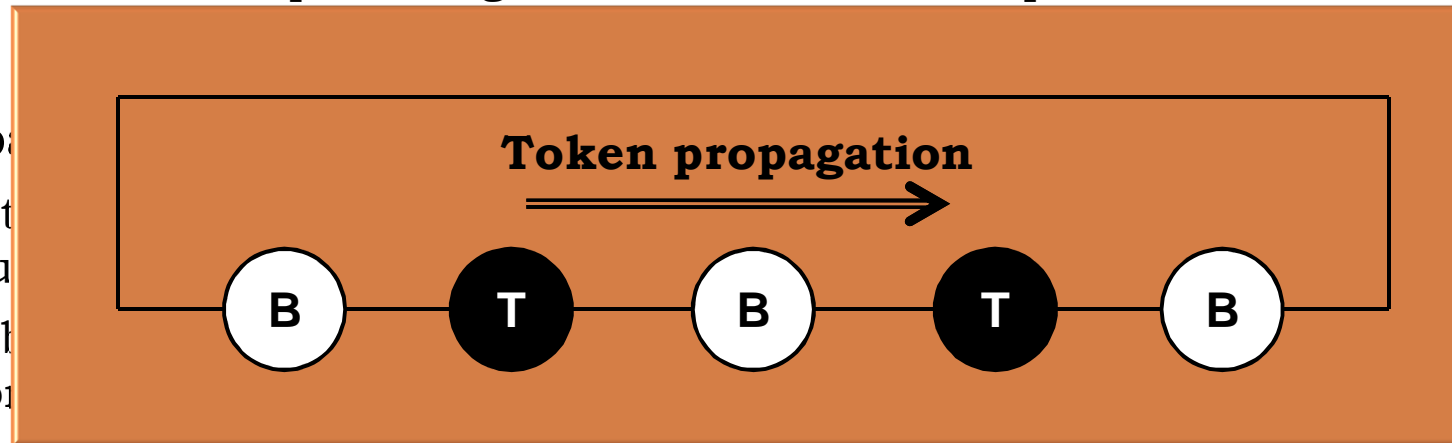
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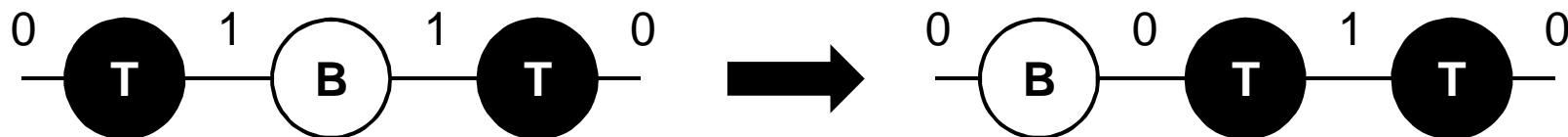
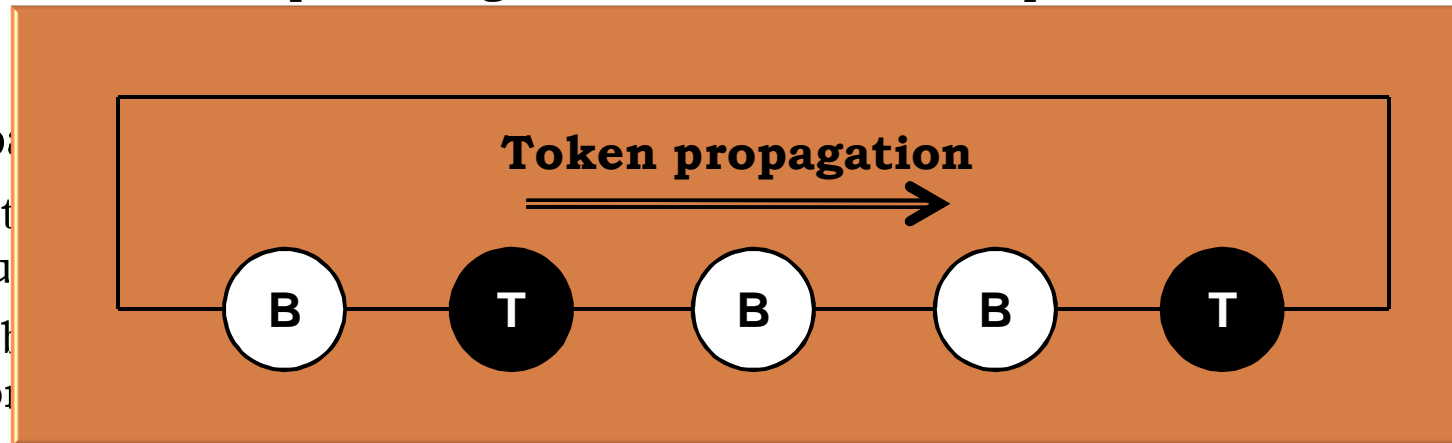
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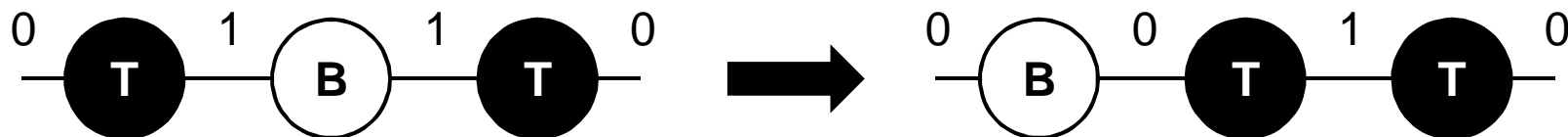
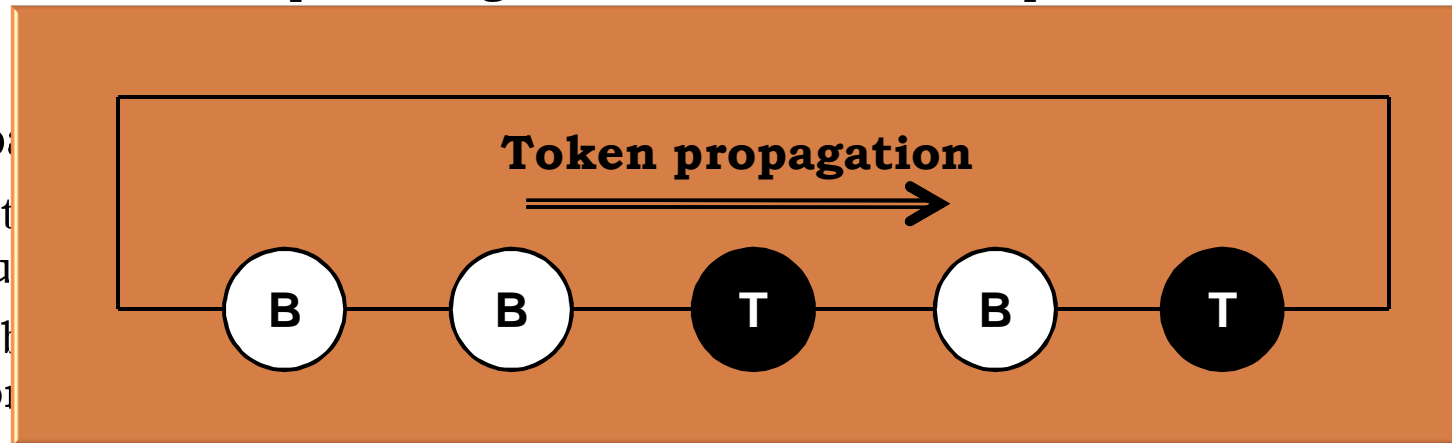
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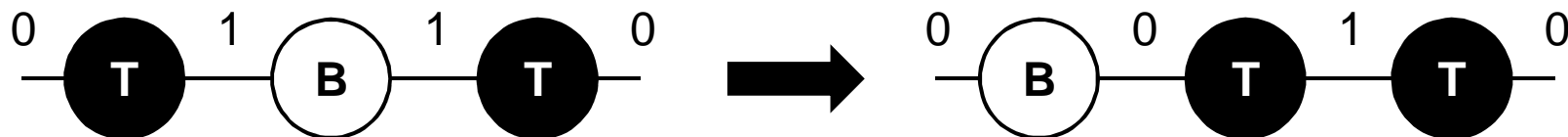
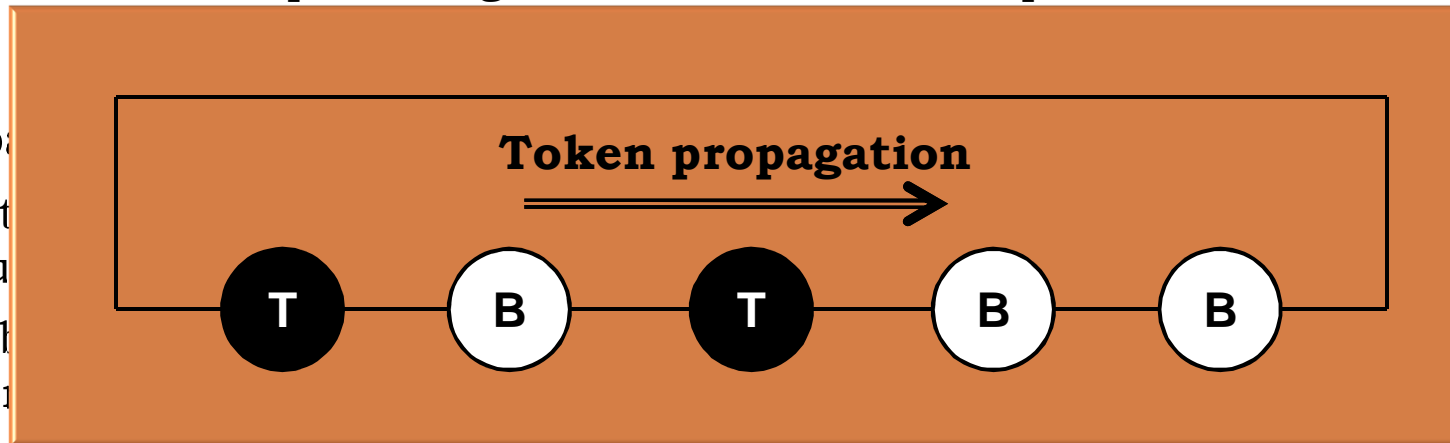
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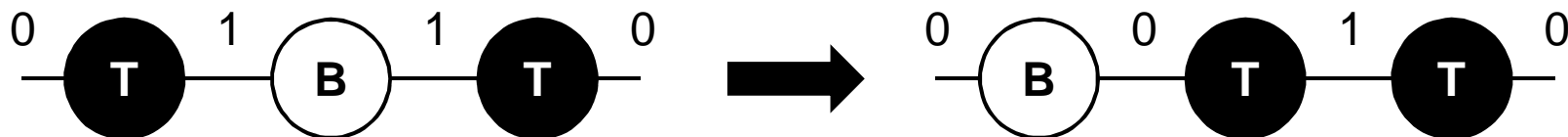
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# Steady Regime

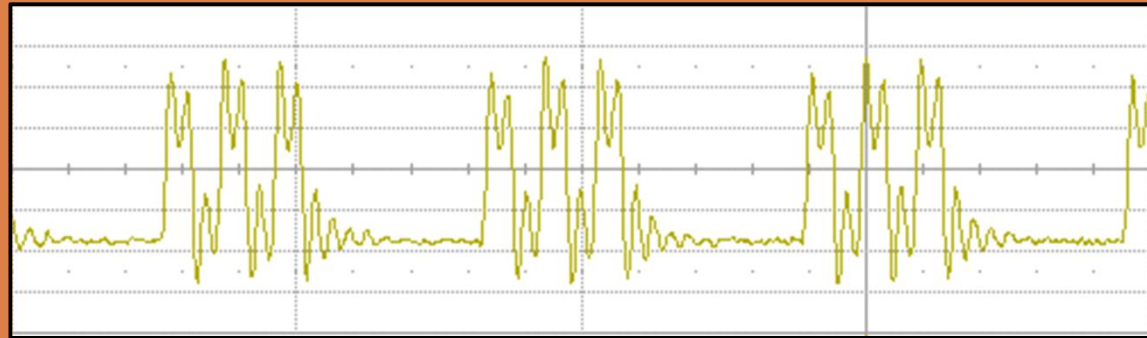
8

- Steady Regime shows 2 oscillation modes
  - Evenly-spaced**      Tokens evenly spread all around the ring and propagate with a constant spacing
  - Burst**              Tokens get together to form a cluster that propagates around the ring
  
- Example : 32-stage ring
  
- Is it possible to predict the oscillation mode ? How ?

# Steady Regime

8

- Steady Regime
- **Evenly-spaced**
- **Burst**

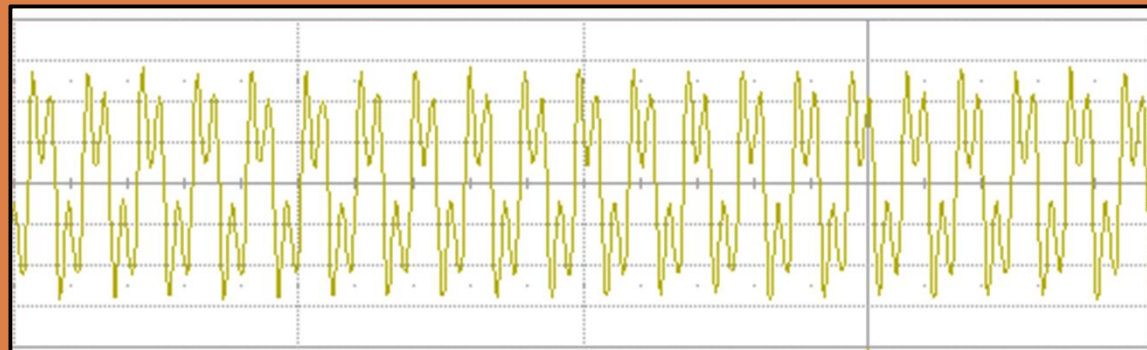


(a) 6 tokens in burst Mode

- Example :



- Is it possible



(b) 14 tokens in evenly-spaced Mode

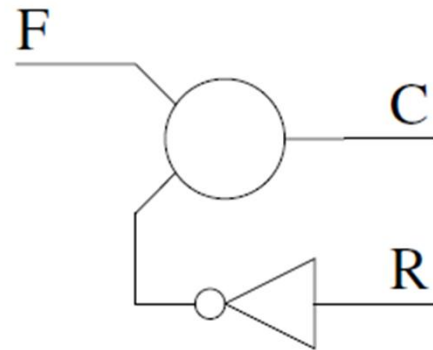
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# Time Accurate Model (1)

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(a) Stage structure

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(b) Stage truth table

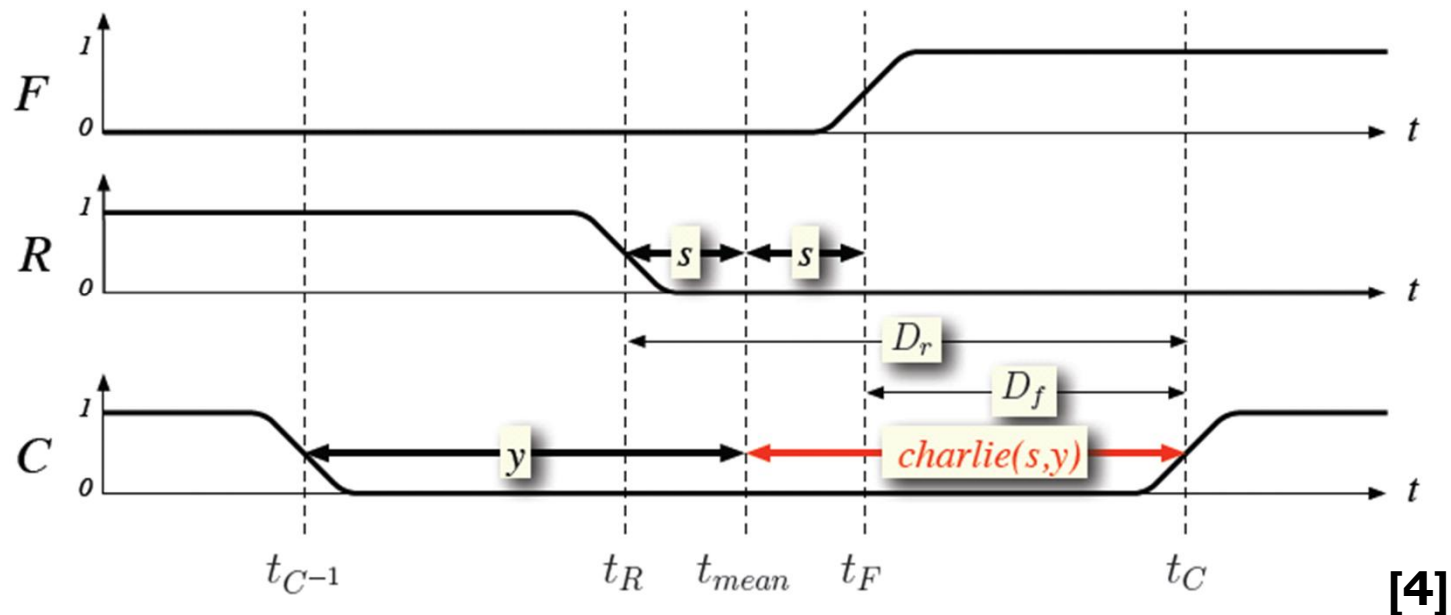
- Stage delay is influenced by two analog phenomena <sup>[2]</sup>
  - Charlie Effect**      The closer are the input's events, the longer is the stage propagation delay
  - Drafting Effect**      The shorter is the elapsed time between two successive outputs commutation the shorter will be the stage propagation delay



# Time Accurate Model (2)

10

- Ring stage chronogram



- Analytical Charlie model

$$charlie(s, y) = D_{mean} + \sqrt{D_{charlie}^2 + (s - s_{min})^2} - B \frac{y}{A}$$

# Time Accurate Model (2)

10

Static delays  
- Mean forward  
and reverse delay

- Analytical Charlie model

$$charlie(s, y) = D_{mean} + \sqrt{D_{charlie}^2 + (s - s_{min})^2} - B^{-\frac{y}{A}}$$

# Time Accurate Model (2)

10

Charlie effect

- Charlie magnitude
- Half separation time

Static delays

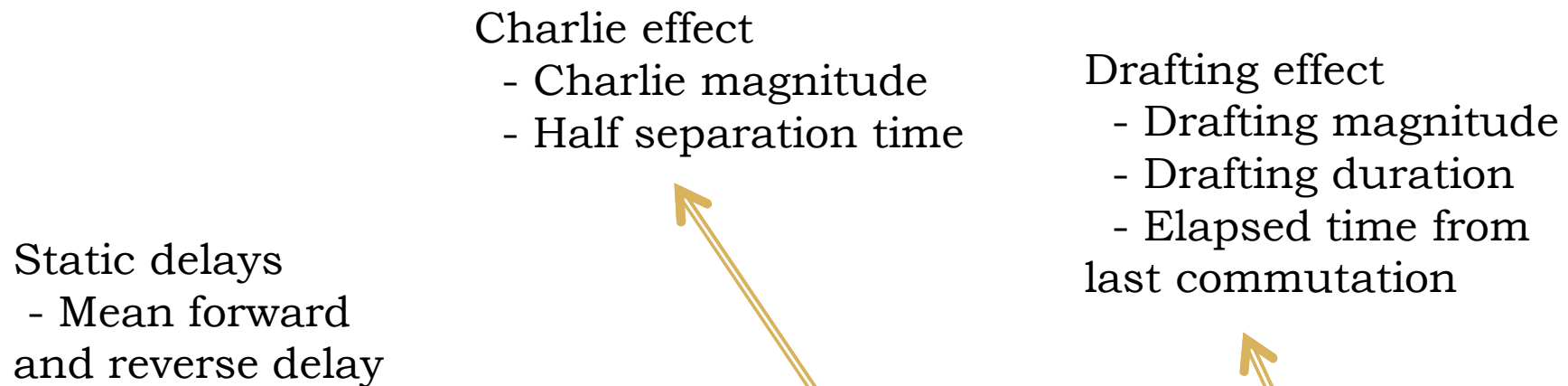
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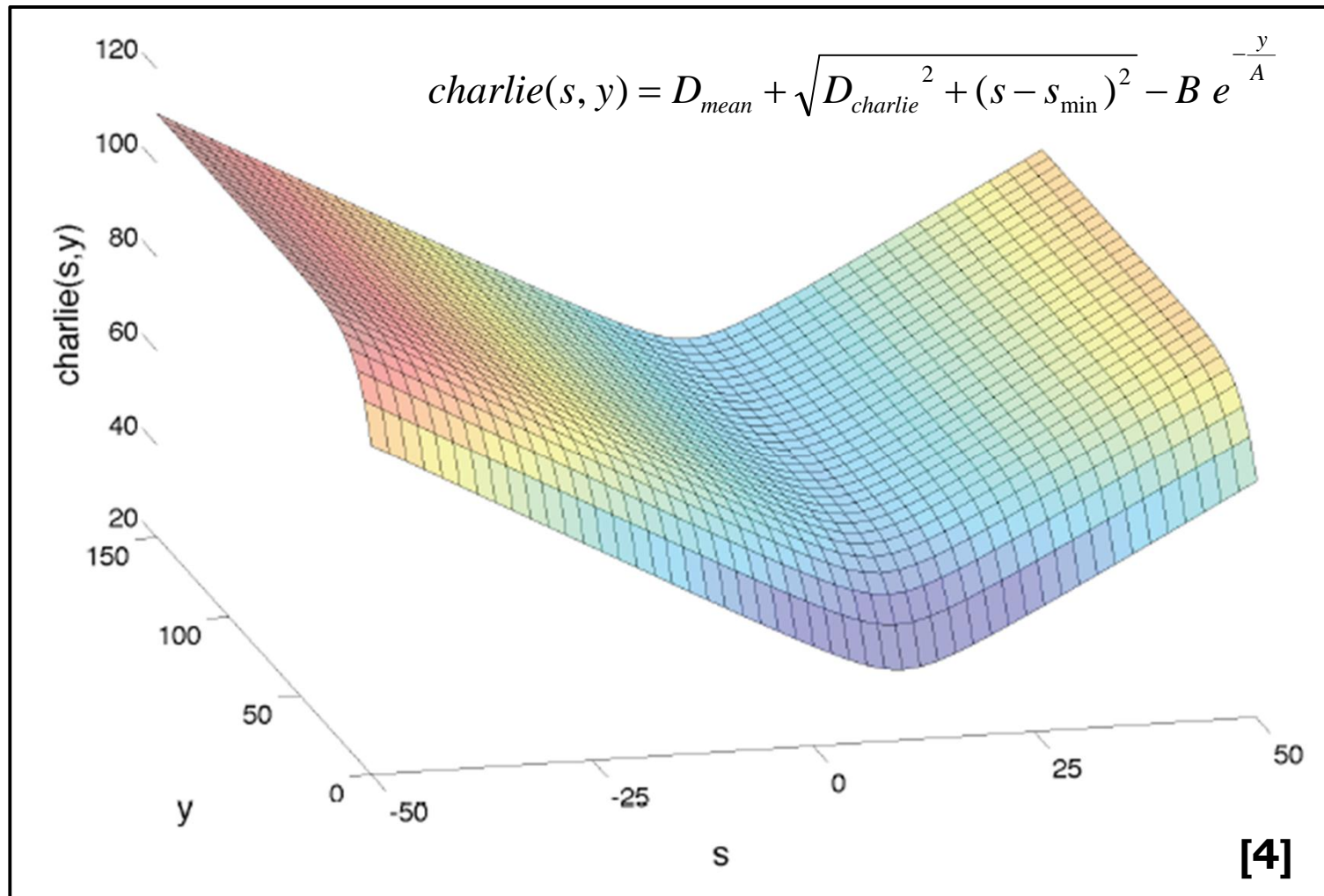


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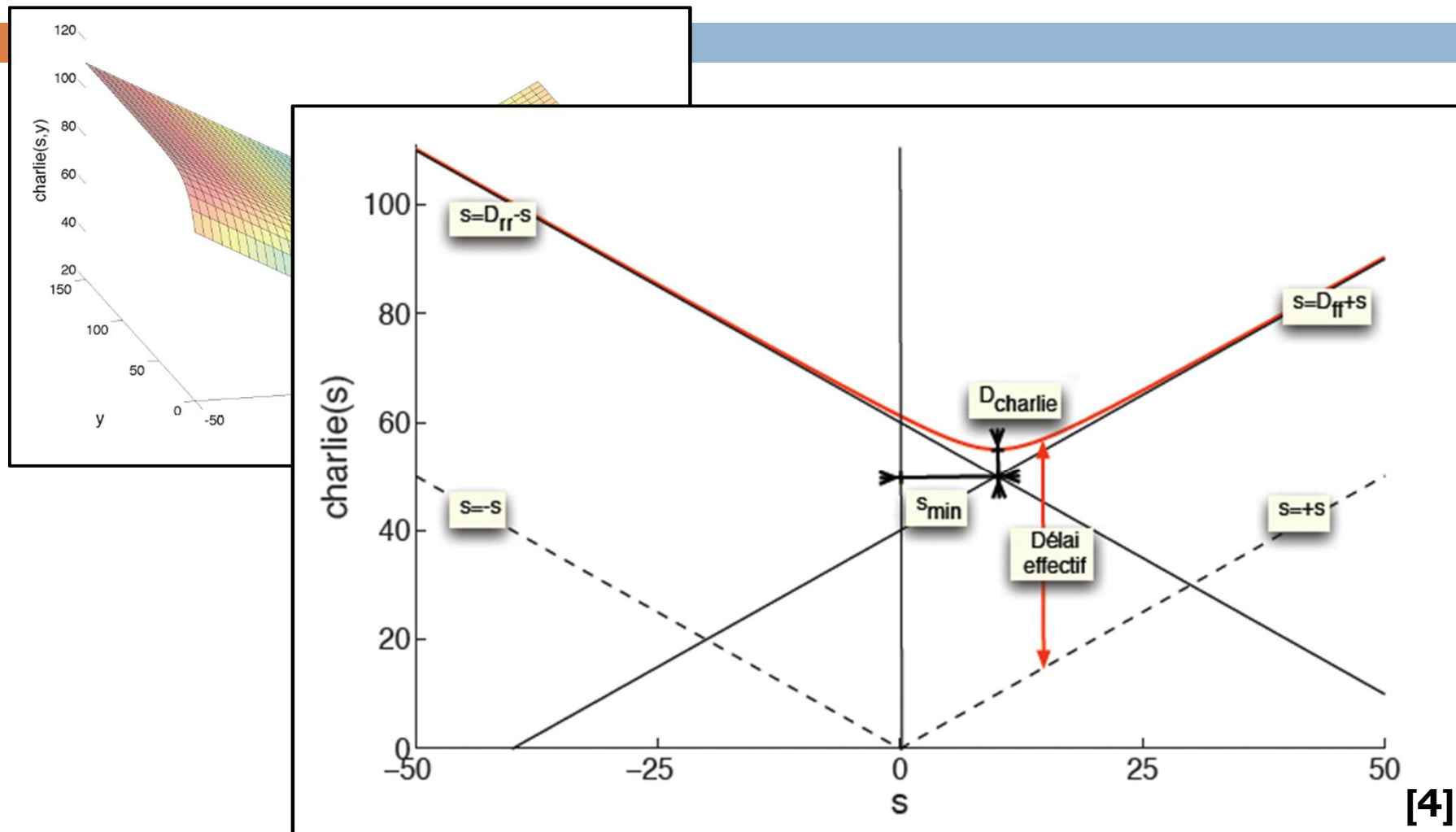
# The Charlie 3D Diagram

11



# The Charlie 3D Diagram

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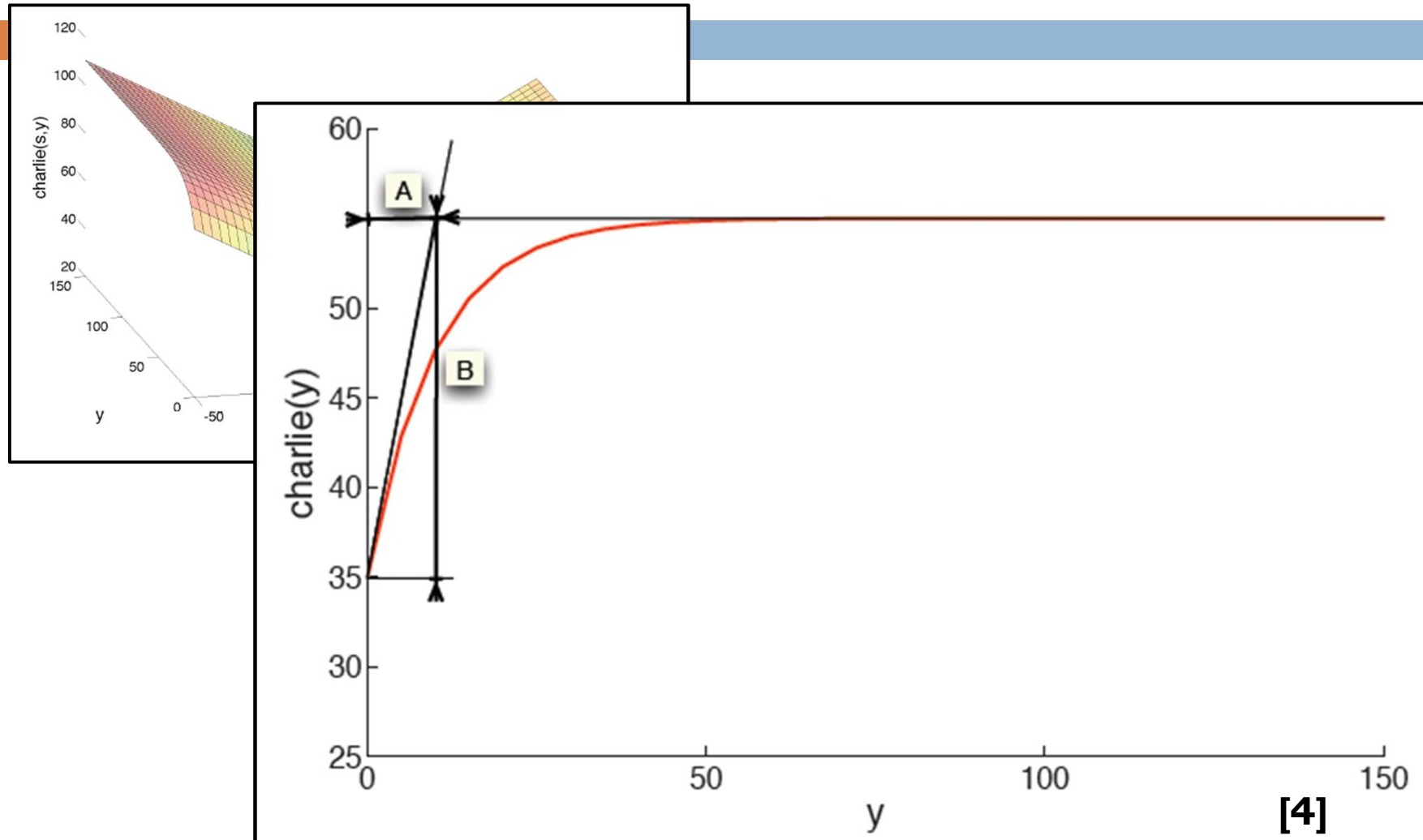


(a)  $charlie(s)$  for constant  $y$

[4]

# The Charlie 3D Diagram

11



(b)  $charlie(y)$  for constant  $s$

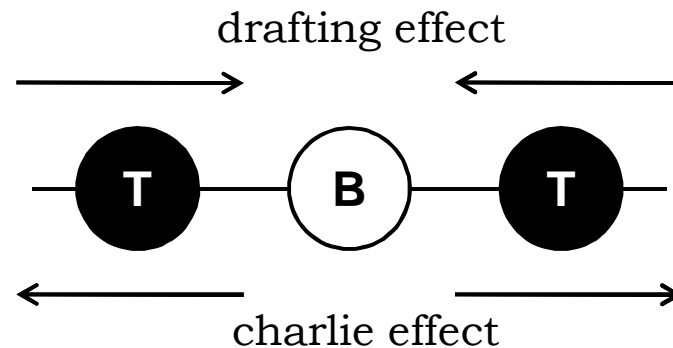
# Time Accurate Model : Analysis

12

- Considering the token/bubble abstraction

**Charlie Effect**      two tokens evolving closely will push away from each other

**Drafting Effect**      a token crossing a stage will accelerate next token crossing the stage



- Considering propagation modes

**Charlie Effect**      favours evenly-spaced oscillating mode

**Drafting Effect**      favours burst oscillating mode



# Avoiding the Burst

13

- Oscillation mode and frequency depend mainly on
  - ▣ Number of tokens and bubbles in the ring
  - ▣ Charlie and drafting parameters
  - ▣ Classic timing parameters (static forward and reverse delays)
- Evenly-spaced volume and Burst volume are evaluated in a 4 dimensionnal space formed by the stage parameters
- Sufficient condition to guarantee the evenly-spaced mode

$$\frac{D_{ff}}{D_{rr}} = \frac{N_T}{N_B}$$

[4]

# Avoiding the Burst

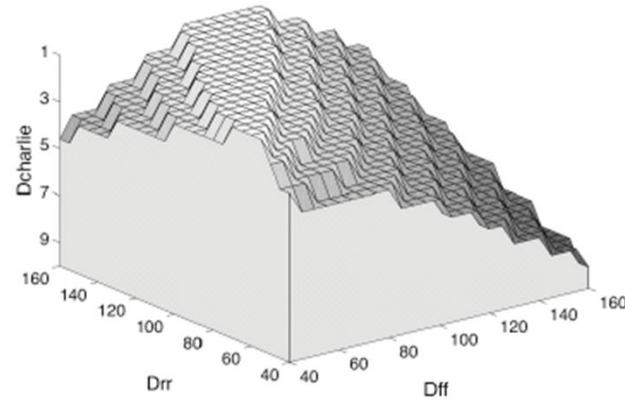
13

- Oscillat
- Numk
- Charl
- Class

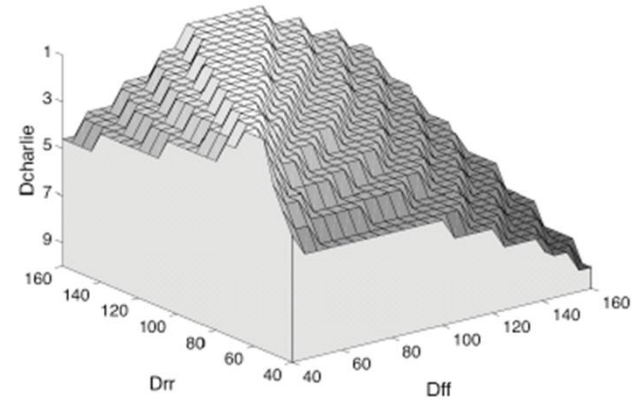
- Evenly-dimens



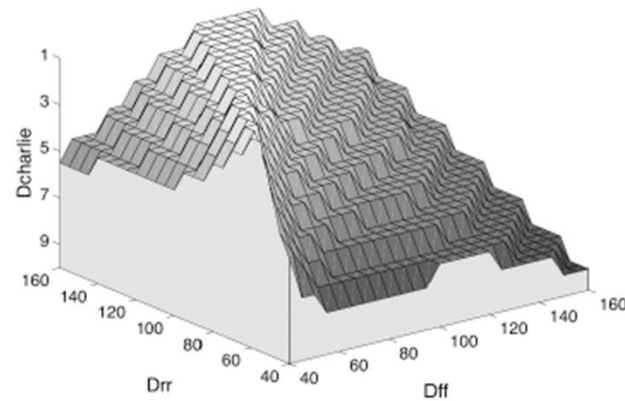
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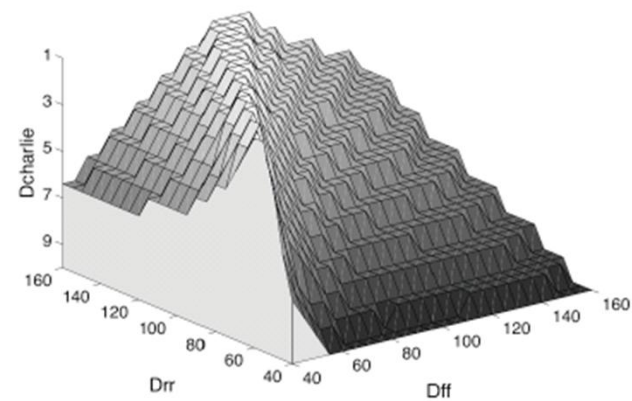
(a)  $D_{drafting} = 15$



(b)  $D_{drafting} = 20$



(c)  $D_{drafting} = 25$



(d)  $D_{drafting} = 30$

[4]

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[4]

# Functionnal Comparison : IRO/ASTR

14

	IRO	ASTR
<b>Stage structure</b>	Inverter	Muller gate + Inverter
<b>Behavior</b>	One event propagating throughout the ring	Several events propagating simultaneously
<b>Oscillation modes</b>	Evenly-spaced	Evenly-spaced + Burst
<b>Frequency</b>	Depends on number of stages and stage delays	More complex dependency
<b>Analog Effects</b>	Drafting effect	Drafting effect + Charlie effect

# ASTR on Altera Cyclone III target (1)

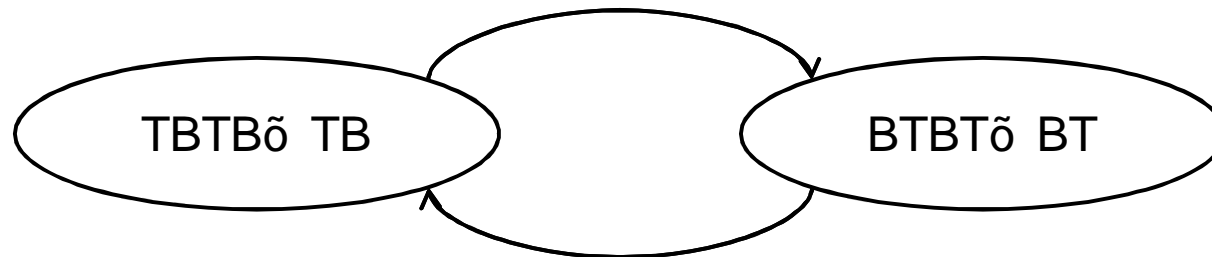
15

- An important evenly-spaced volume
  - ➔ high Charlie effect
  
- Short commutation time compared to propagation delay
  - ➔ low Drafting effect
  
- Ring stage implemented inside one LUT
  - ➔  $D_{rr} = D_{ff}$
  
- A simple design rule to insure the evenly-spaced mode
  - ➔  $N_T = N_B$

# ASTR on Altera Cyclone III target (2)

16

- In the steady evenly-spaced regime the ring oscillates between two states



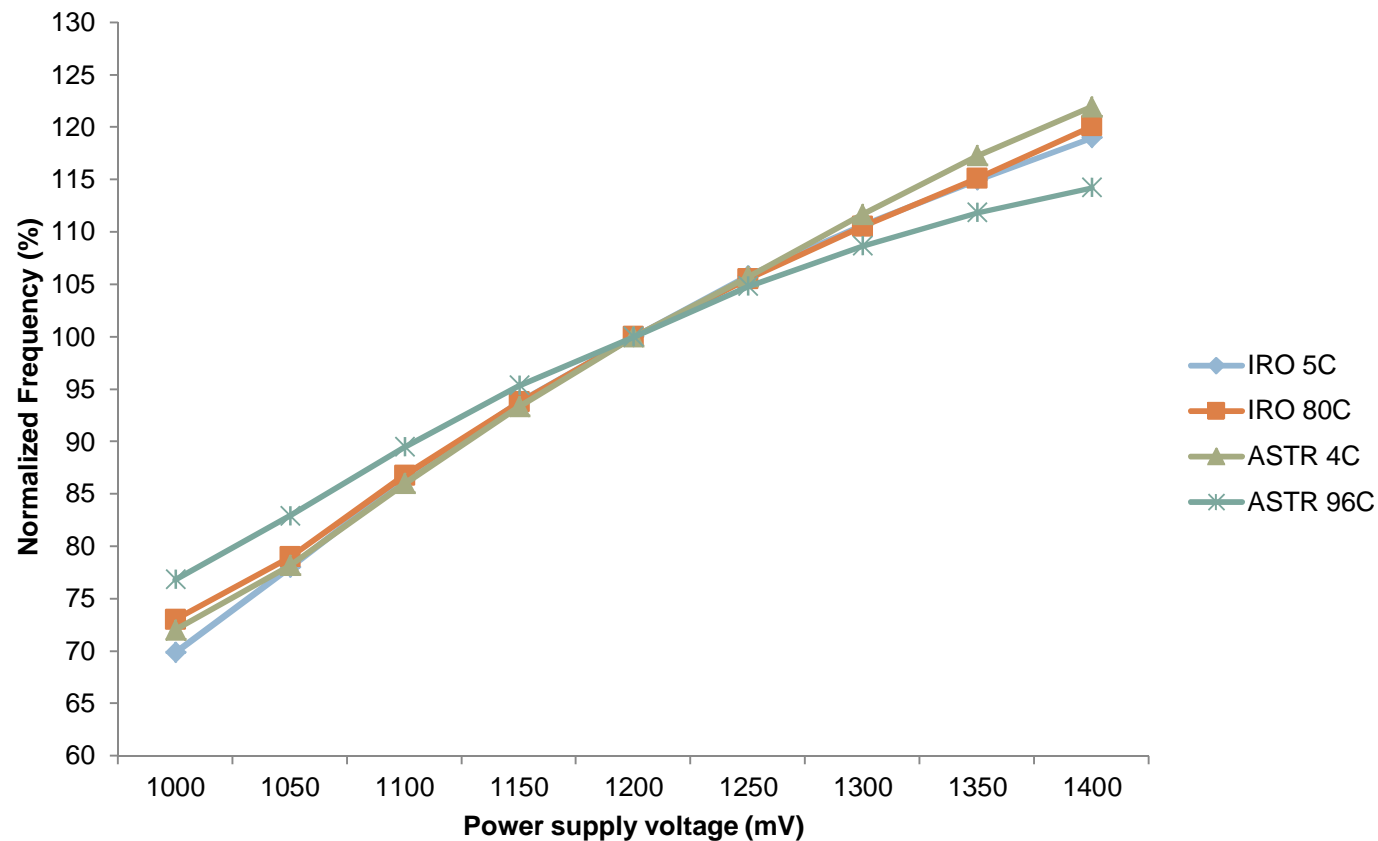
- Events happen with null separation times

➔ 
$$T_{osc} = 4 * (D_{LUT} + D_{charlie})$$

# Robustness to Voltage Variations (1)

17

- Normalized frequency Vs power supply voltage



$$F_n = \frac{F}{F_{nom}}$$

# Robustness to Voltage Variations (2)

18

- Normalized frequency range Vs power supply voltage

$$\Delta F = \frac{F_{\max} - F_{\min}}{F_{\text{nom}}}$$

	<b>Nominal frequency (Mhz)</b>	<b>Normalized frequency range</b>
<b>IRO 5C</b>	375.82	49.15 %
<b>IRO 25C</b>	73.49	47.72 %
<b>IRO 80C</b>	22.84	47.07 %
<b>ASTR 4C</b>	653.47	49.95 %
<b>ASTR 24C</b>	432.54	44.20 %
<b>ASTR 48C</b>	407.90	<b>38.72 %</b>
<b>ASTR 64C</b>	368.58	<b>38.87 %</b>
<b>ASTR 96C</b>	320.48	<b>37.38 %</b>



# Robustness to Process Variability

19

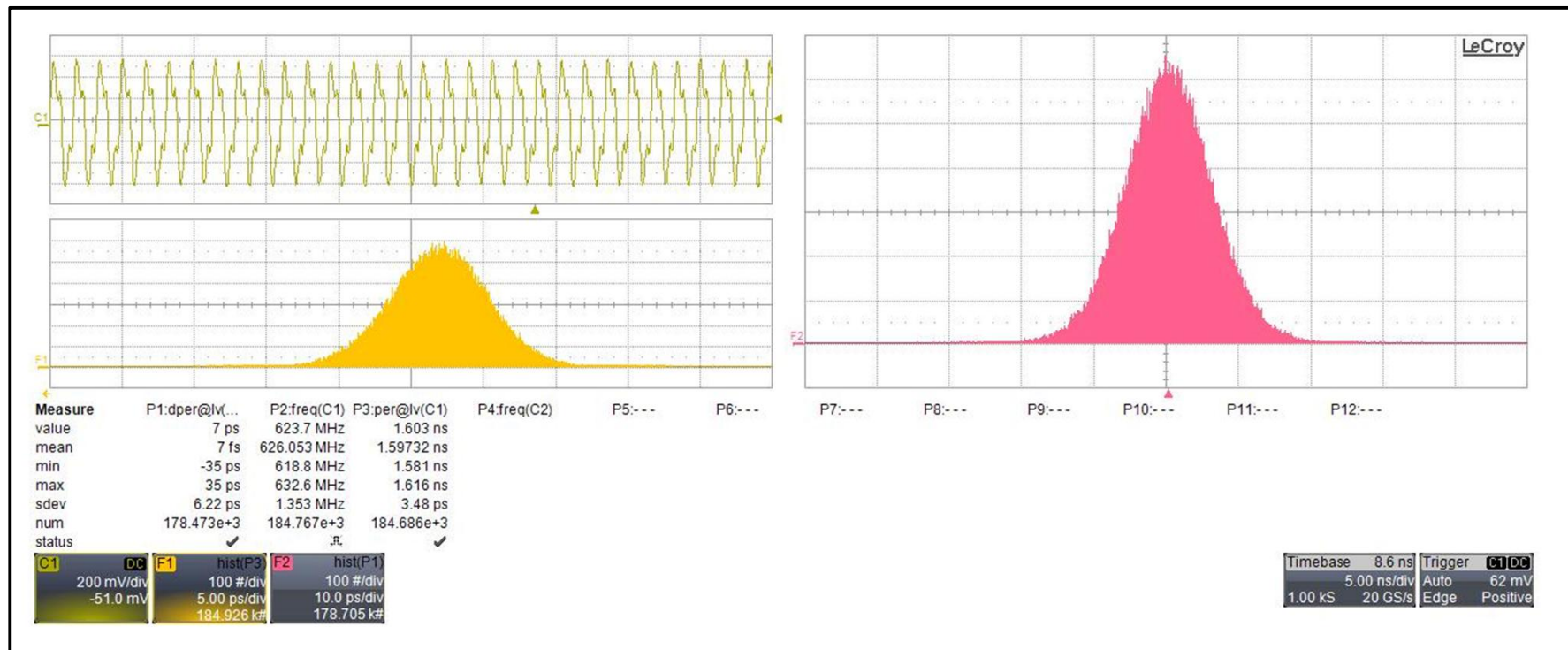
- Preliminary tests using 5 Altera Cyclone III boards :  
frequency dispersion

	Board 1	Board 2	Board 3	Board 4	Board 5	Relative standard deviation
<b>IRO 3C</b>	654.42	646.84	641.56	645.60	642.12	<b>0.79 %</b>
<b>IRO 5C</b>	305.72	306.44	302.54	304.87	302.20	<b>0.62 %</b>
<b>ASTR 4C</b>	669.05	660.06	658.60	659.90	655.62	<b>0.76 %</b>
<b>ASTR 96C</b>	328.16	328.54	327.55	328.47	327.46	<b>0.15 %</b>

# Jitter Shapes

20

- Without deterministic noise and surrounding logic, both oscillators exhibit gaussian jitter

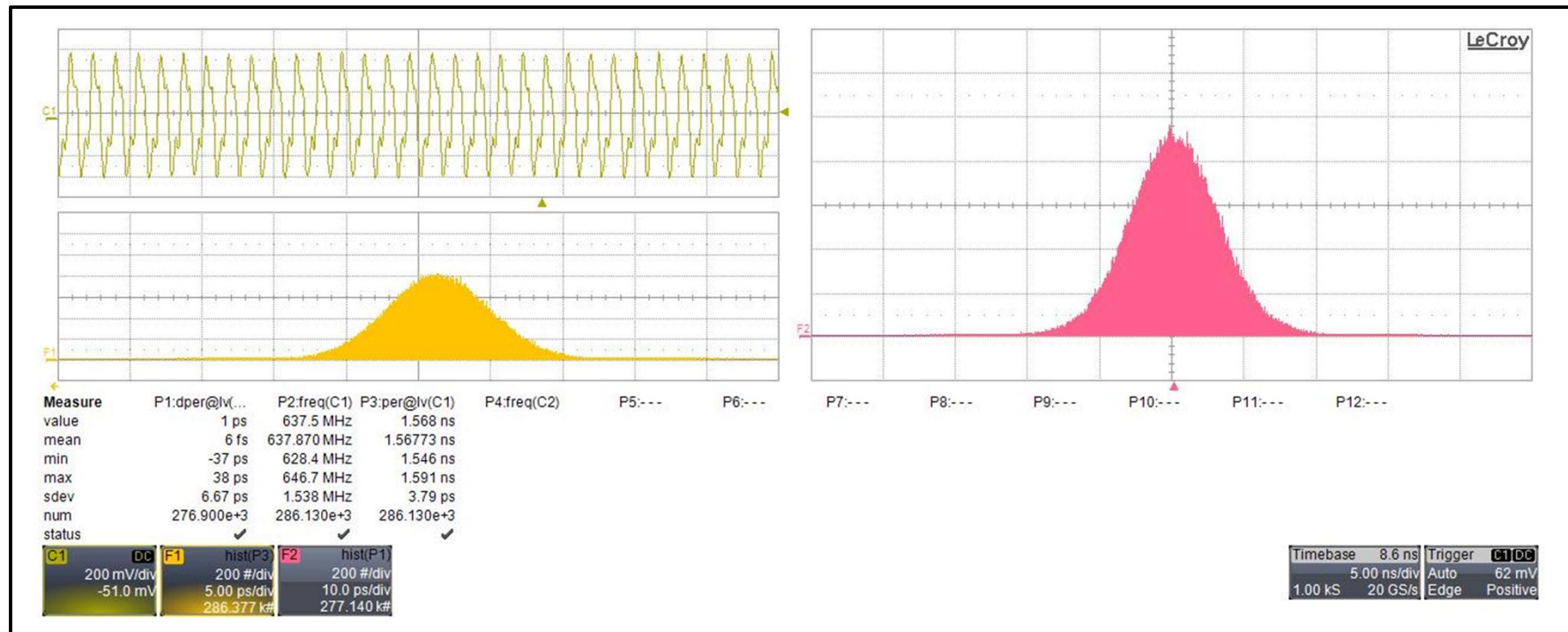


IRO 3C

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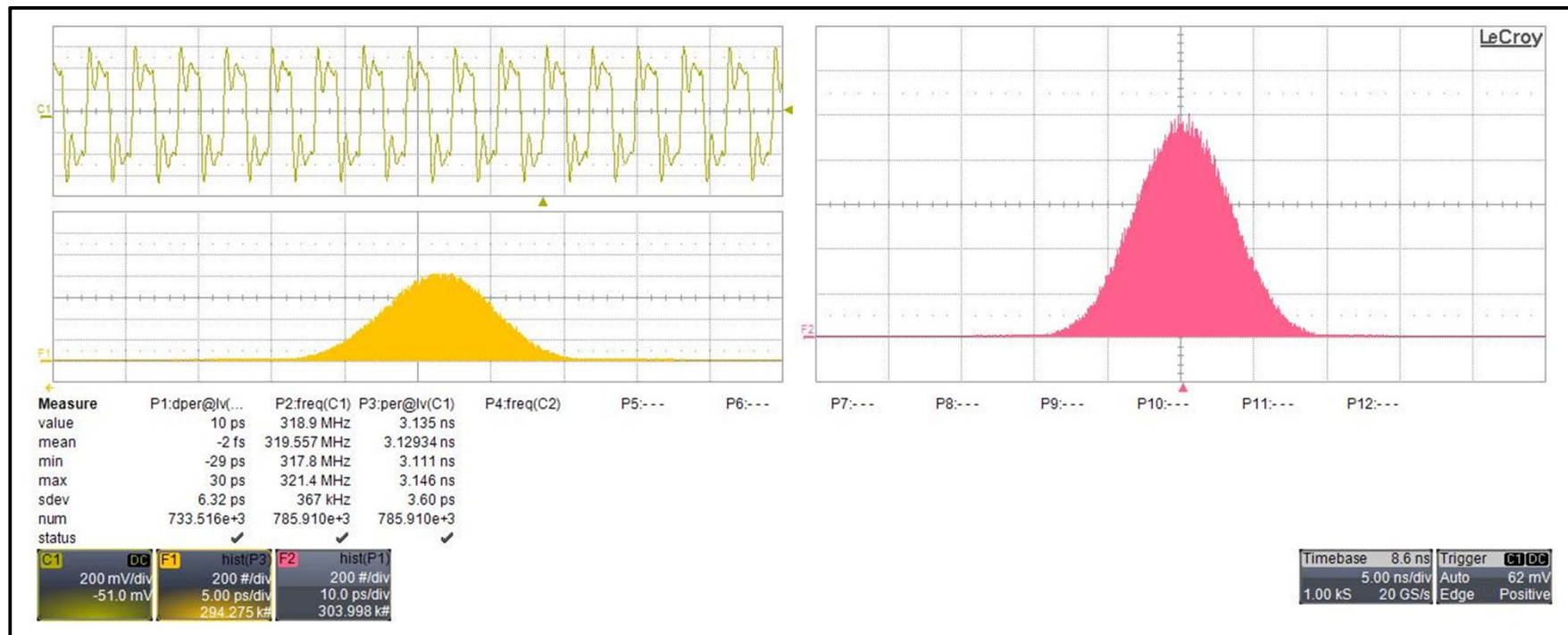


**ASTR 4C**

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20

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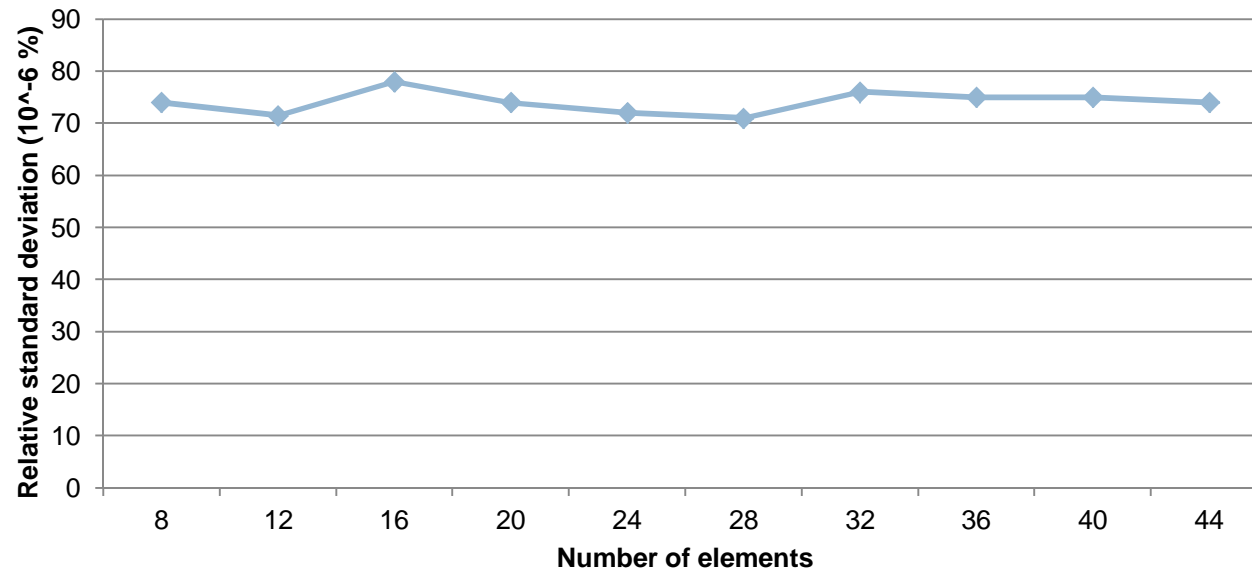
**ASTR 96C**

# Jitter Measurements

21

- Jitter accumulation in IRO has been well studied : period standard deviation follows a square root law with the number of elements
- Period jitter seems to be constant with respect to the number of elements for ASTR

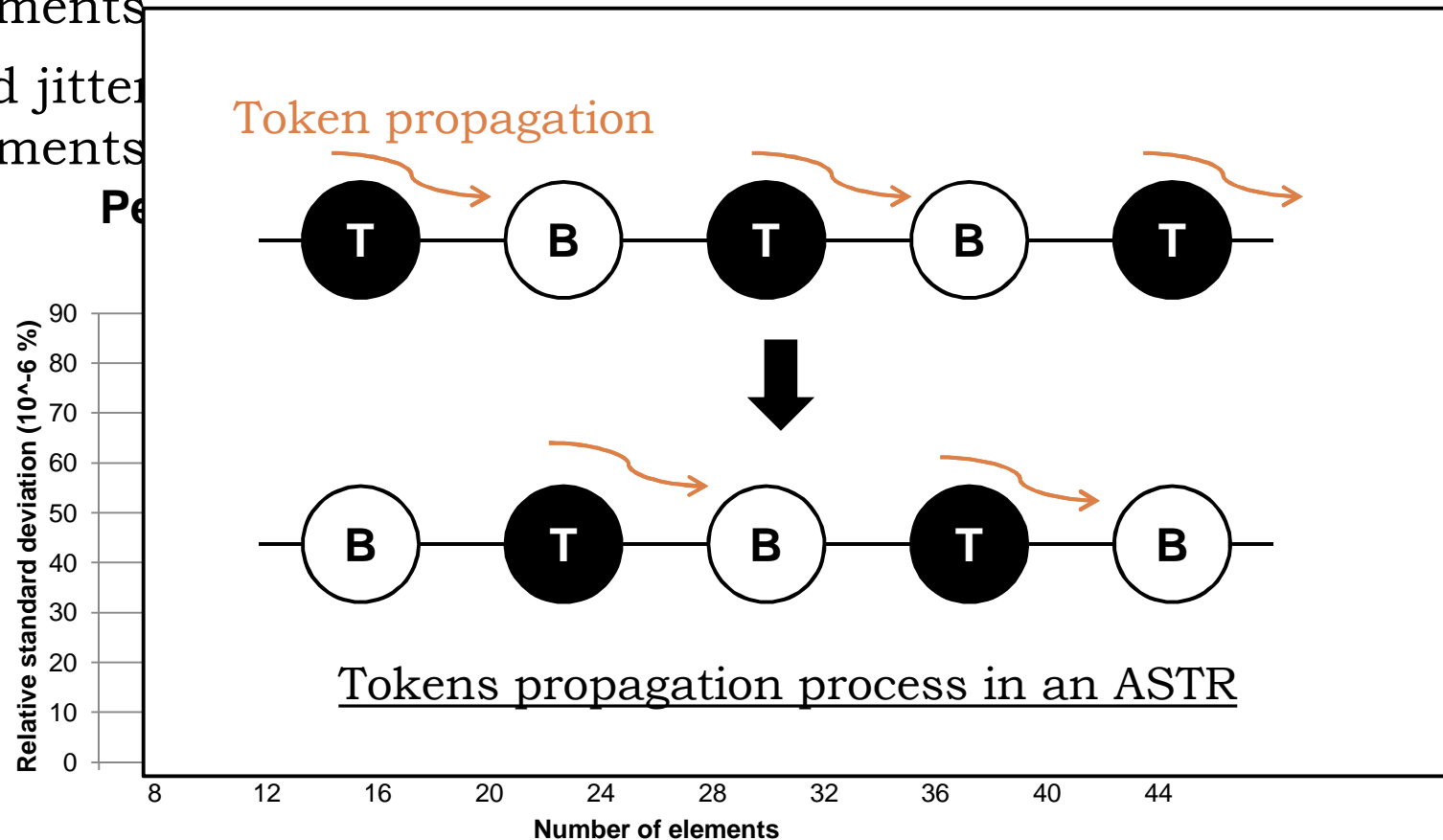
**Period relative standard deviation vs number of elements (ASTR)**



# Jitter Measurements

21

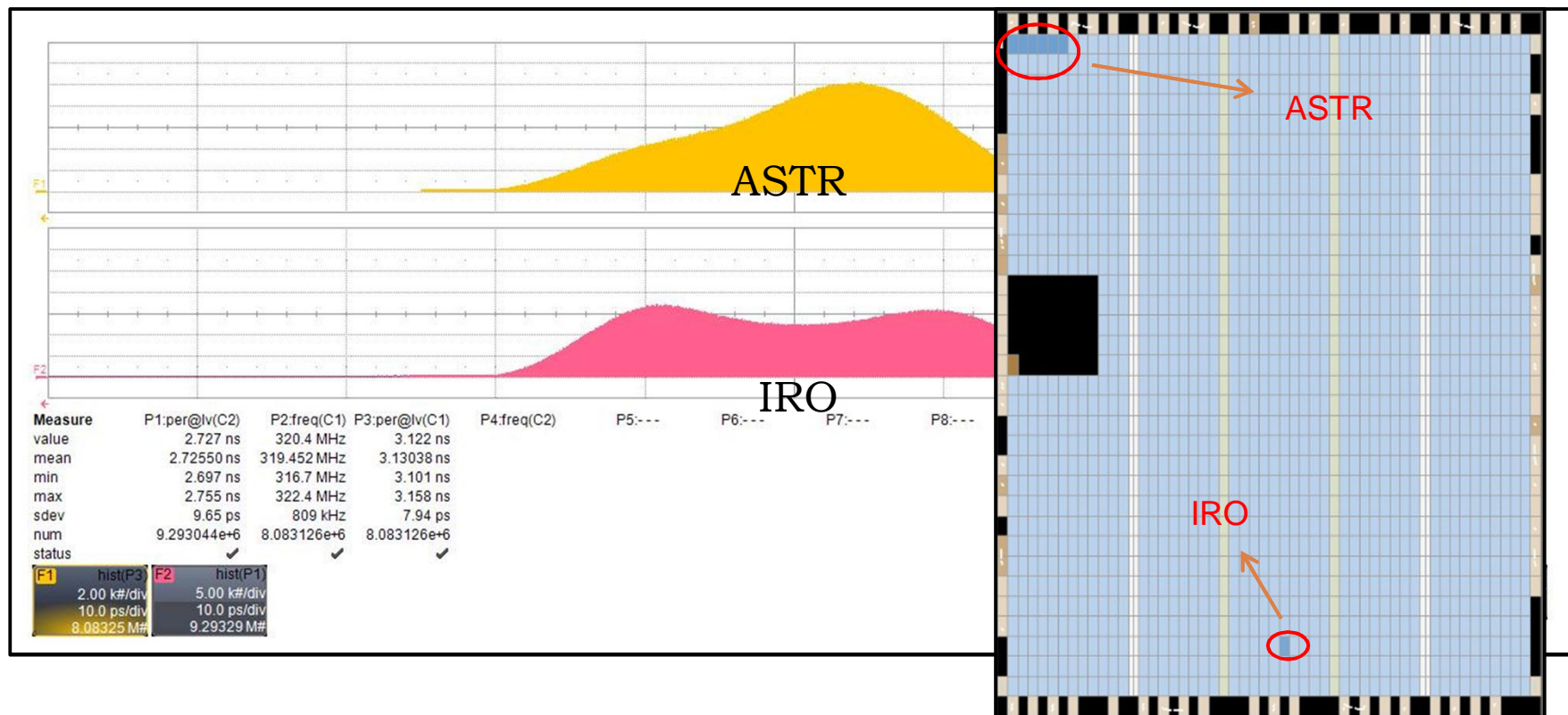
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# Influence of Surrounding Logic

22

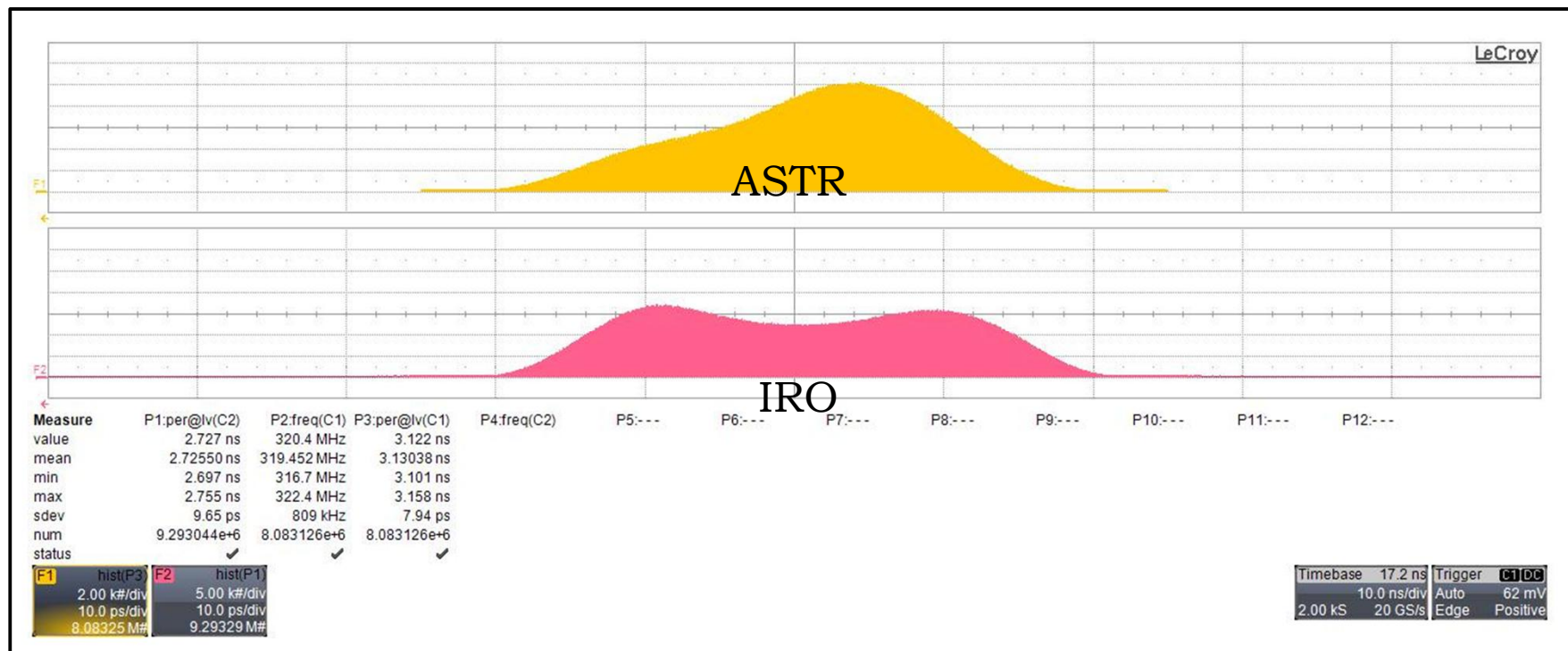
- A simple experiment : an IRO (5 stages ~ 300 Mhz) and an ASTR (96 stages ~ 320 Mhz) running simultaneously in an Altera Cyclone III board



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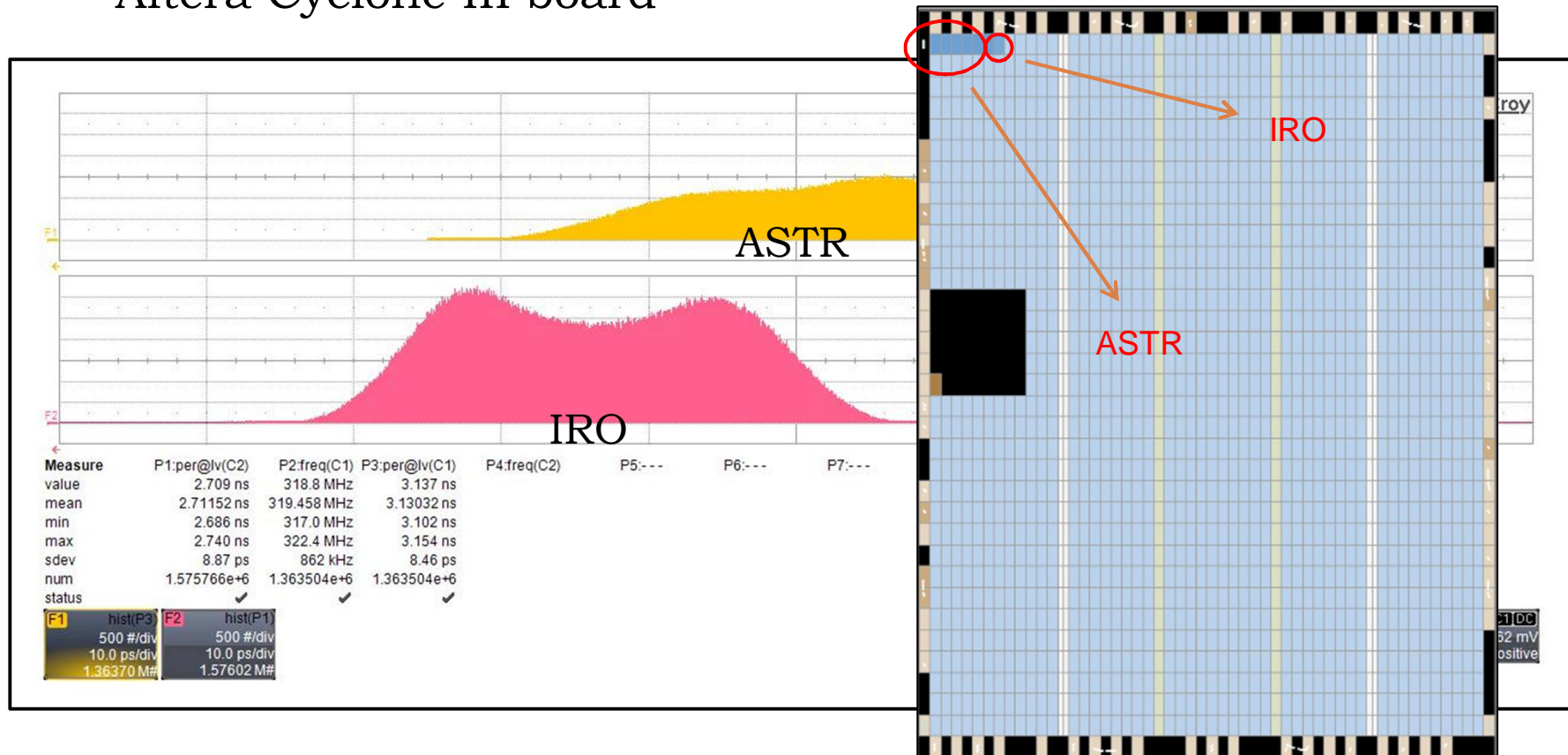




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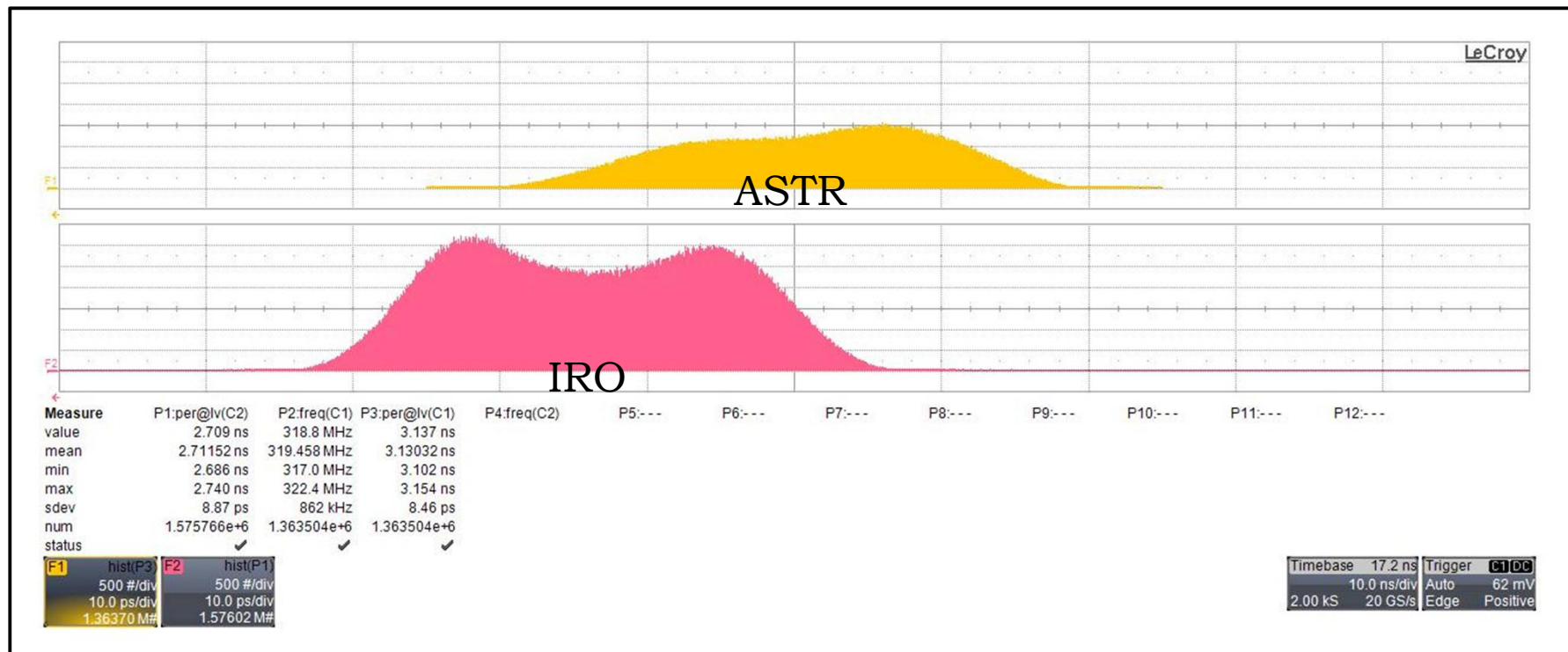
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# Analysis : Routing Counts

23

- Limitations of constant stage parameters model
  - ▣ TBTB ... TB configuration : frequency independent from number of stages

$$T_{osc} = 4 * (D_{LUT} + D_{charlie})$$

- ▣ Robustness to voltage variations : influence of stage length
  - ▣ Well suited for ASIC but placement/routing matters in FPGA
- Extending the existing model to more restrictive targets
  - ▣ Consider different timing parameters for each stage : take into account routing
  - ▣ Low drafting effect

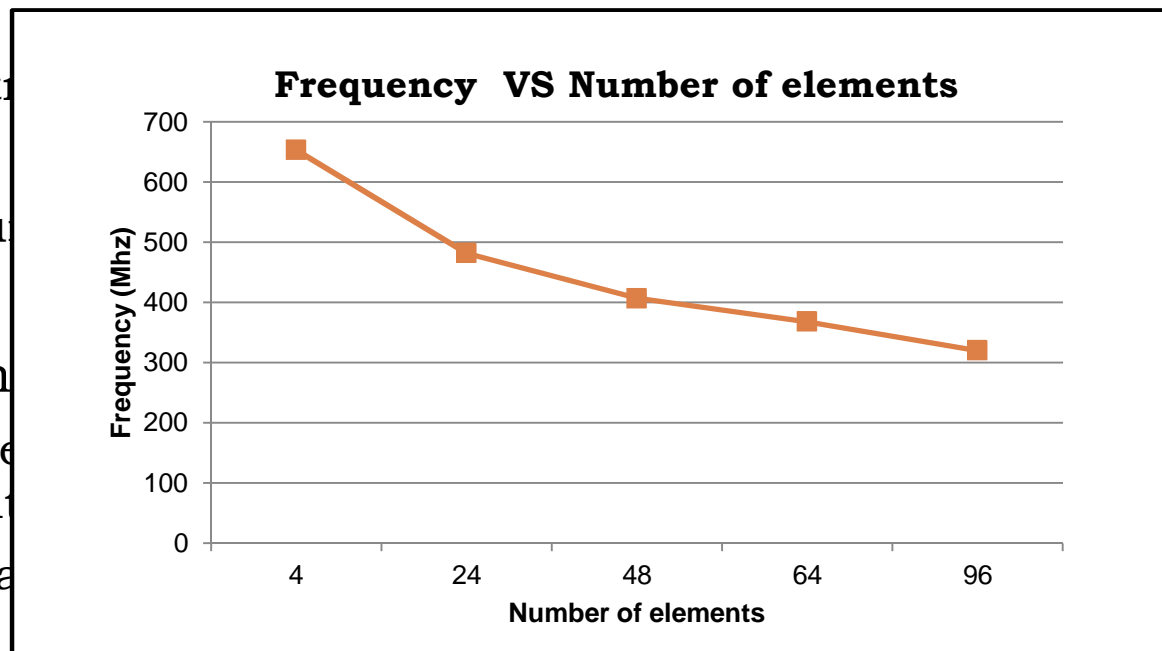
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$$charlie_i(s) = Ds_i + \sqrt{D_{charlie}^2 + s^2}$$

# Analysis : Period Expression

24

- Oscillation period for a 4-stage ring

$$T_{osc} = \sum_{i=1}^4 DS_i + 2\sqrt{D_{charlie}^2 + \left(\frac{DS_4 - DS_2}{2}\right)^2} + 2\sqrt{D_{charlie}^2 + \left(\frac{DS_3 - DS_1}{2}\right)^2}$$

- General oscillation period expression for an n-stage ring

$$T_{osc} = \frac{4}{n} \sum_{i=1}^n DS_i + f(D_{charlie}, DS_{i, i \in \{1, \dots, n\}})$$

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$$\approx 4 * D_{LUT}$$

**Charlie effect contribution  
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**Robustness to VV**

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- General oscillation period expression for an n-stage ring

$$T_{osc} = \frac{4}{n} \sum_{i=1}^n Ds_i + f(D_{charlie}, Ds_{i,i \in \{1, \dots, n\}})$$

- Derivative with respect to voltage for a 4-stage ring

$$\frac{\partial T_{osc}}{\partial V} = \sum_{i=1}^4 \frac{\partial Ds_i}{\partial V} + \frac{Ds_3 - Ds_1}{2\sqrt{D_{charlie}^2 + \left(\frac{Ds_3 - Ds_1}{2}\right)^2}} \left( \frac{\partial Ds_3}{\partial V} - \frac{\partial Ds_1}{\partial V} \right) + \frac{Ds_4 - Ds_2}{2\sqrt{D_{charlie}^2 + \left(\frac{Ds_4 - Ds_2}{2}\right)^2}} \left( \frac{\partial Ds_4}{\partial V} - \frac{\partial Ds_2}{\partial V} \right)$$

# Conclusion

25

	IRO	ASTR
Behavior	One event evolving in the ring	Several events evolving in the ring simultaneously
Frequency	Linear dependency with number of elements and stage delays	Depends on many parameters
Implementation	Easy	Needs placement
Size	Small ( 5-stage ~ 300 Mhz)	Medium (96-stage ~ 300 Mhz)
Power Consumption	-	Probably higher than IRO
Robustness to process variability	Increases with number of elements	Increases with number of elements while maintaining high frequency
Robustness to voltage variations	Low	Increases with number of stages
Period jitter	Increases with number of elements (square root accumulation)	Constant with number of elements, in theory a lower deterministic contribution

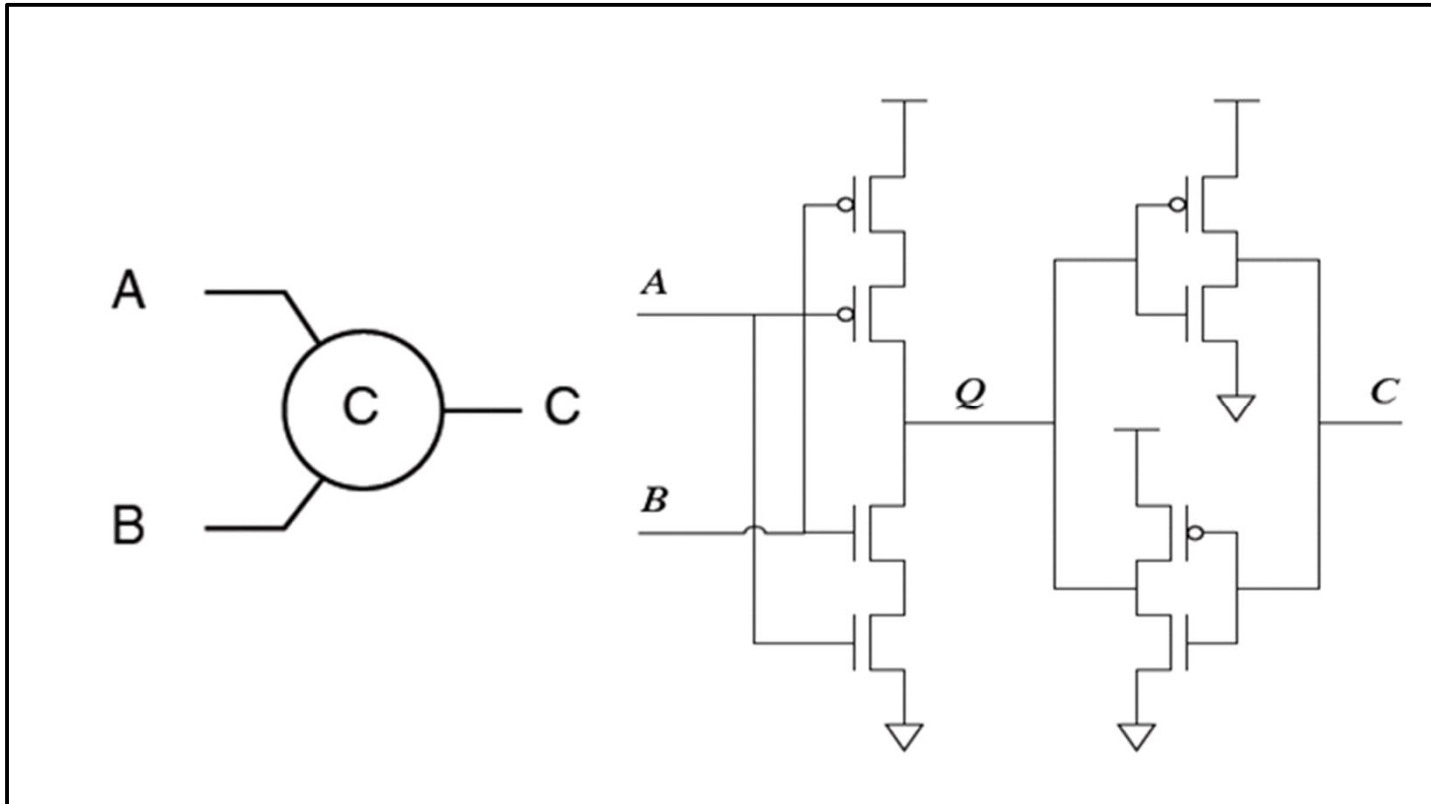
# Future Works

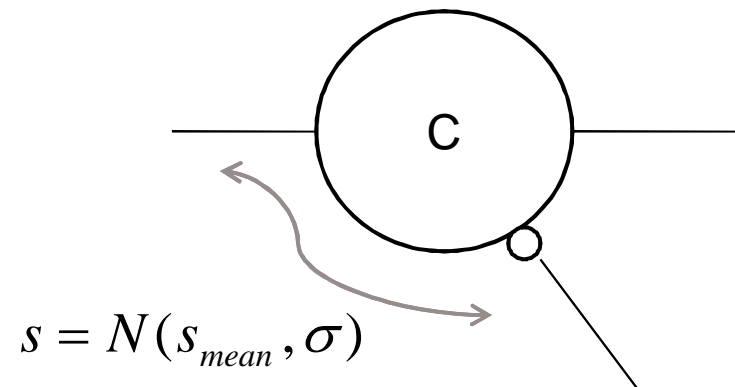
26

- Regarding robustness : validate the results by doing more measurements, quantify the influence of surrounding logic
- Jitter characterization
- Developing the time accurate model
- Concrete implementation of some TRNG using asynchronous self-timed rings and inverter rings (Sunar generator, Wold & Tan ...) to compare robustness at a statistic level



**Thank you !**





$$charlie(s, y) = D_{mean} + \sqrt{D_{charlie}^2 + (s - s_{min})^2} - B * \exp(-y / A)$$



density.default(x = charlie1)

